

## Item 12 - Disclosure Report



Arvon J. Perteet, Chair  
Ryan Micik, Vice Chair  
Charlotte Hill  
Joe Tuman  
Francis Upton IV

Suzanne Doran, Acting Executive Director

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TO: Public Ethics Commission  
FROM: Jelani Killings, Ethics Analyst  
Ana Lara-Franco, Administrative Analyst  
Suzanne Doran, Acting Executive Director  
DATE: November 30, 2022  
RE: Disclosure and Engagement Report for the December 14, 2022, Meeting

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This memorandum provides a summary of major accomplishments in the Public Ethics Commission's (PEC or Commission) Disclosure and Engagement program activities since the last monthly meeting. Commission staff disclosure activities focus on improving online tools for public access to local campaign finance and other disclosure data, enhancing compliance with disclosure rules, and conducting data analysis for PEC projects and programs as required. Engagement activities include training and resources provided to the regulated community, as well as general outreach to Oakland residents to raise awareness of the Commission's role and services and to provide opportunities for dialogue between the Commission and community members.

### **Compliance with Disclosure Requirements**

Commission staff conducts filing officer duties as required by state and local law and aims to help candidates, lobbyists and City officials submit required disclosure reports and ensure residents can easily access campaign finance, lobbyist, and ethics-related data and information. The goal is for the public and the PEC to be able to monitor filings, view information, and detect inconsistencies or noncompliance.

**Campaign finance disclosure** – All candidates that were on the November ballot raising or spending \$2,000 or more were required to file a second pre-election campaign statement in October. Ballot measure committees and other recipient committees with fundraising or spending activity connected with the November ballot were also required to file pre-election campaign statements.

Commission staff coordinated with the Fair Political Practices Commission (FPPC) to swiftly contact candidates that missed any pre-election deadlines. All candidates submitted their statements, and late fees were assessed against one candidate.

Campaign statements are available to view and download at the PEC's [Public Portal for Campaign Finance Disclosure](#).

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**Lobbyist Registration and Reporting** – October 30 marked the deadline for quarterly lobbyist activity reports covering the period from July 1 through September 30, 2022. 72 reports were filed. Two lobbyists have not filed reports. Staff is conducting outreach to non-filers to reach compliance. An up-to-date list of registered lobbyists with links to view and search individual reports is available at the PEC’s [Lobbyist Dashboard and Data](#) webpage.

### Advice and Engagement

The Commission’s Engagement program seeks to ensure Oakland public servants, candidates for office, lobbyists, and City contractors understand and comply with City campaign finance, ethics, and transparency laws.

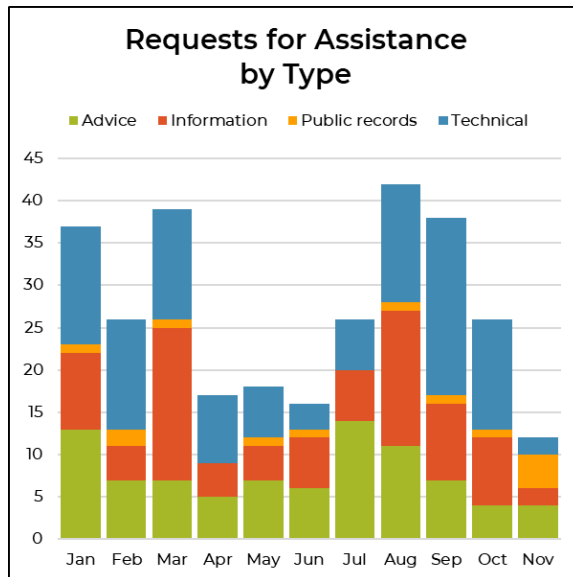
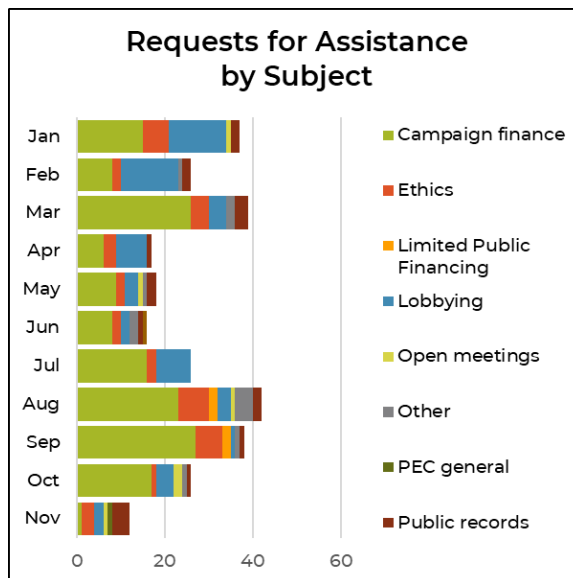
**Advice and Technical Assistance** – In November, Commission staff responded to 12 requests for information, advice or assistance regarding campaign finance, ethics, Sunshine law, or lobbyist issues, for a total of 297 requests in 2022.

**New Employee Orientation** – Staff continues to make presentations at the City’s monthly New Employee Orientation (NEO) providing new employees with an introduction to the PEC and overview of the Government Ethics Act (GEA). In November, Staff trained a total of 40 new employees on GEA provisions.

**Ticket Distribution Training** – On November 9, Staff met with District 1 Councilmember Dan Kalb and his staff for a training on the new ticket distribution policy. On November 30, Staff also provided the training to At-Large Councilmember Rebecca Kaplan and her staff. The training covered the purpose of the policy and recent changes to the rules including, the role of the Ticket Administrator, distribution procedures, limits on ticket use by officials, and new reporting requirements.

### Limited Public Financing Program (LPF)

Commission staff administers the LPF program and provides training and ongoing interaction with candidates to facilitate program requirements and distribute the maximum amount of available public funds.



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To date, \$159,038 of the \$177,000 available through the election fund has been claimed and processed for reimbursements to participating candidates. Each of the participating candidates were able to claim up to \$35,400 in reimbursements for qualified campaign expenditures.

The next LPF program deadline is January 31, 2023, in which LPF participants must return any surplus funds remaining in their campaign account as of December 31, 2022. Staff will continue to work with candidates to close out the LPF program for the 2022 election.

### **General Outreach**

The Commission conducts outreach activities to ensure Oakland residents and the regulated community know about the Commission and that the Commission is responsive to their complaints and questions about government ethics, campaign finance, or transparency concerns.

**Community Outreach/PEC Roadshow** – On November 3, Chair Perteet and Commission staffer Jelani Killings presented at the Acorn & Oak Community Neighborhood Council meeting to share the Commission’s work and opportunities to apply for upcoming Commissioner vacancies. In the months of October and November, Commissioners and Staff presented at five Neighborhood Council meetings.

### **Online Engagement**

**Social Media** – Each month Commission staff post social media content to highlight specific PEC policy areas, activities, or client-groups. In November, our posts highlighted the PEC’s election disclosure tools and data, as well as lobbyist disclosure data.