

Item 11 - Enforcement Report



Ryan Micik, Chair
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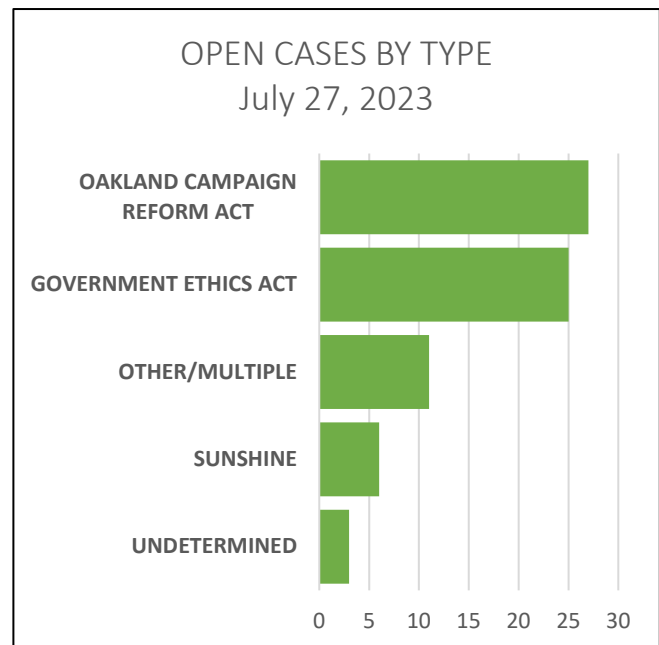
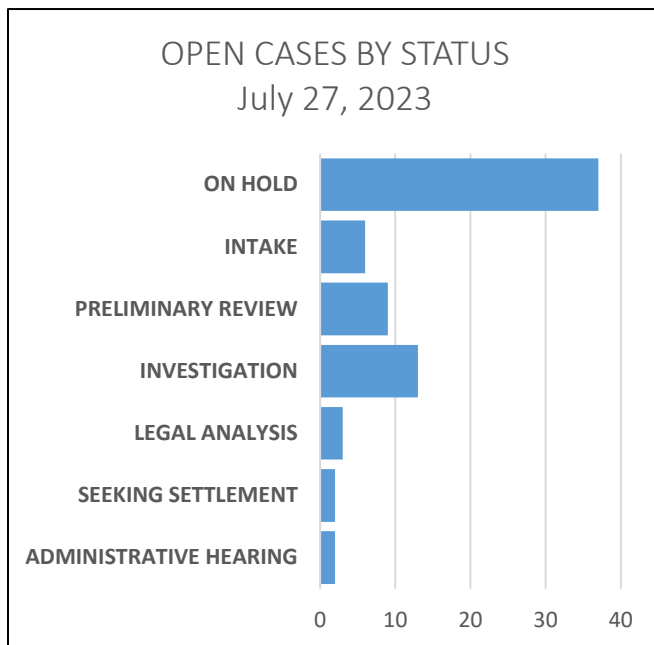
Nicolas Heidorn, Executive Director

TO: Public Ethics Commission
FROM: Simon Russell, Enforcement Chief
DATE: July 27, 2023
RE: Enforcement Unit Program Update for the August 9, 2023, PEC Meeting

Current Enforcement Activities:

Since the Enforcement Unit Program Update submitted to the Commission on June 26, 2023, Commission staff received 2 formal complaints, which are under preliminary review. Enforcement also received 6 informal complaints, two of which were rejected; and four of which are awaiting a decision as to whether to reject them or escalate them to preliminary review.

This brings Enforcement’s caseload to 72 matters at all stages, from preliminary review through to investigation, settlement negotiations or administrative hearing. That includes 37 cases or complaints that are now “On Hold.” It does not include informal complaints awaiting a decision as to whether to reject them or escalate them to preliminary review.



Legal Actions/Litigation Update

The following public court actions have recently been submitted or scheduled by or on behalf of the Enforcement Unit:

1. *City of Oakland Public Ethics Commission v. Charlie Ngo* (Alameda County Superior Court case no. RG20070117). On June 23, 2023, the PEC filed a “Request for Dismissal.”

Except where otherwise noted, no allegations have yet been proved or admitted in any of the above matters, and the existence of these cases and associated litigation should not be taken as an indication that the potential respondent(s) necessarily violated any laws. This information is being provided for the PEC’s informational purposes only.