



OFFICE OF THE CITY ADMINISTRATOR Jestin Johnson, City Administrator

REQUEST FOR PROPOSALS (RFP)

For

PROFESSIONAL SERVICES FOR CITY OF OAKLAND HEAD START PROGRAM FY 2024 – FY 2029

✓ <u>Due Date</u>: Monday, Sept 30, 2024 – <u>2:00 p.m. (PST)</u>
 ✓ <u>Voluntary Pre-Proposal Meeting</u>: Tuesday, Sept 10, 2024, at 1:00-2:30PM

via Zoom https://us02web.zoom.us/my/cityofoaklandheadstart?omn=82773264167

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The Combined Contract Schedules will be collected from the successful proposer before a final decision is made and up to full contract execution. It may be viewed at: <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-andschedules</u> or at 150 Frank H. Ogawa Plaza Suite 6213, Oakland, CA, Department of Finance, Contracts Unit. Also, request a copy by email from <u>isupplier@oaklandca.gov</u>

I. INTRODUCTION

This Request for Proposals (RFP) is being issued by the City of Oakland, Office of the Human Services Department.

Pre-proposal Meeting Date and Time (Voluntary): Tuesday, Sept 10, 2024, at 1PM via Zoom https://us02web.zoom.us/my/cityofoaklandheadstart?omn=82773264167

Deadline for Questions: 2:00 PM, Sept 16, 2024, by email to the Project Manager, OaklandHeadStart@oaklandca.gov

Proposal Submittal Deadline Date and Time: Monday, Sept 30, 2024, at 2:00 PM

Submit Proposals electronically to iSupplier: Please log on to iSupplier to submit your proposal before the 2:00 P.M. deadline. Questions regarding online submittal through iSupplier must be directed to <u>isupplier@oaklandca.gov</u> to the attention of Finance Contracts Division.

Proposals Must Be Received in iSupplier portal by Department of Workplace and Employment Standards (DWES) staff no later than - 2:00 P.M. <u>iSupplier will not</u> <u>allow late submittals and therefore please allow time to log in, create a response,</u> <u>and upload your Proposal. Email submittals will not be accepted.</u>

The Contractor shall be required to comply with all applicable City programs and policies outlined in Attachment C. Details are presented in the project documents and will be discussed at the pre-proposal meeting. Discussions will include, but may not be limited to: \bullet Equal Benefits for Registered Domestic Partners \bullet Campaign Contribution \bullet Post-project Contractor Evaluation \bullet Prompt Payment \bullet Arizona Boycott \bullet Dispute Disclosure \bullet Living Wage \bullet Minimum Wage \bullet Border Wall Prohibition \bullet Sanctuary City Contracting and Investment Ordinance

Contractors who wish to participate in the RFP process are required to register in iSupplier to receive addenda, updates, announcements, and notifications of contracting opportunities. We recommend updating your firm's primary email address regularly and periodically confirming that the "Products and Services" section fully represents the scope of products and services provided. If you have any questions, please email isupplier@oaklandca.gov.

For further information and detailed iSupplier registration instructions, please visit the following link <u>https://www.oaklandca.gov/services/register-with-isupplier</u>

Free copies of the RFP documents and Addenda (when applicable) are available in iSupplier. Hard copies will NOT be available for purchase from the City. Please consult the City website for the Plan Holder list.

- iSupplier Registration/Login: <u>https://www.oaklandca.gov/services/register-with-isupplier</u> New registrants can email <u>isupplier@oaklandca.gov</u> for registration instructions. Allow 3 working days for approval to access bid documents through iSupplier
- 2. iSupplier user guides: <u>https://www.oaklandca.gov/documents/isupplier-user-guides</u>
- 3. iSupplier Plan Holders List: https://www.oaklandca.gov/services/active-closed-opportunties

Contact Information: The following City staffs are available to answer questions regarding this RFP.

- 1. Contract Admin: <u>contractadmin@oaklandca.gov</u>
- Contract Compliance Officer: Sophany Hang at <u>shang@oaklandca.gov</u> or (510) 238-2723

OVERVIEW

This Request for Proposals (RFP) is being issued by the City of Oakland Head Start Program (COOHS).

The COOHS seeks experienced and motivated non-profit organizations, private agencies, public agencies, and others, to provide professional services to support the City's early childhood development program (Head Start) for children prenatal through 5 years of age.

COOHS anticipates awarding professional service agreements at any time, between July 1, 2024 – June 30, 2029, contingent on availability of funding each year.

The City of Oakland Head Start is a Federal, State and City funded early childhood education program beginning at pregnancy and aimed to promote school readiness of children ages birth-to-five for families who meet income and other eligibility guidelines. The program does this by supporting children's growth and development.

We offer free early childhood education and care, prenatal education, and family services, to eligible Oakland residents, in addition to the following comprehensive services:

Individualized Early Childhood Education - Head Start / Early Head Start prepare children for kindergarten using a variety of learning experiences for each individual child. Staff and parents work together to implement an Individual Development Plan (IDP) for each child. The program focuses on every child's intellectual, social, emotional, and physical growth and development.

Health Services - All children are connected to medical insurance, a regular medical provider and are assisted in receiving regular, preventative care including immunizations and well visits. Children receive health and development screenings, vision screenings, dental care and mental health support. The program emphasizes the importance of preventive care to keep children healthy and early identification of health concerns

Mental Health Services - Head Start and Early Head Start programs support the mental health of children, families, and staff. Staff build relationships with every child and his or her family by creating environments that are supportive and by using positive teaching strategies. The mental health of children and the adults that care for them is essential for school readiness. Our Community Mental Health Partners help connect families to additional services and resources.

Family Services - Head Start and Early Head Start supports and strengthens parentchild relationships by engaging families in their children's learning and development. Families work together with our staff through Family Partnership Agreements to both set goals and achieve them. We also collaborate with Community Partners to connect families to additional services and resources.

Disabilities Services - 10% of program slots must serve children with disabilities who have an Individualized Education Plan (IEP) or Individualized Family Service Plan (IFSP).

Nutrition Services - Every child is served nutritious breakfast, lunch and snacks daily through the US Department of Agriculture Child and Adult Care Food Program.

National Program Description

Head Start is administered by the Administration for Children and Families, one of the principal components of the U.S. Department of Health and Human Services. Since its beginning in 1965, Head Start has served more than 25 million children and their families nationally.

During fiscal year 2021, the Head Start program served 839,116¹ children nationwide. The Office of Head Start provides grants to local public and private non-profit organizations to provide comprehensive child development services to economically disadvantaged children and families, with a special focus on helping preschoolers develop the skills needed to be successful in school. Head Start and Early Head Start programs promote school readiness by enhancing the social, cognitive, and emotional development of children, including children with disabilities. The program provides high quality comprehensive services to enrolled children and families. The program also emphasizes the importance of parent involvement in the administration of local

¹ https://eclkc.ohs.acf.hhs.gov/about-us/article/head-start-program-facts-fiscal-year-2021

programs. Head Start programs engage parents with their children's learning as well as achieving their personal goals.

Eligible Children and Families

Head Start serves children from birth-to-five, whose families have incomes below the Federal poverty level. Federal regulations permits up to 10 percent of enrolled children to be from families whose income exceeds the Federal poverty level. Head Start requires that a minimum of 10 percent of funded slots in the program be filled by children formally diagnosed with disabilities (Individual Education Program/Individual Family Service Plan). Such children are expected to be enrolled and receive the full range of Head Start services, including providing activities in an inclusive or natural setting with their non-disabled peers, and receive special education and related services.

Eligibility and Expected Minimum Qualifications

Expected minimum qualifications for prospective vendors to become a City of Oakland Early Head Start and/or Head Start-State Preschool vendor are as follows:

- Compliant with State of California Licensing and Employment laws
- Agree to meet additional program requirements identified within City, County, State and Federal laws & regulations
- Ability to meet ALL City of Oakland contracting requirements, including Schedules and Exhibits listed within the RFP proposal (waivers may be considered for some insurance coverage requirements)
- Demonstrated experience of at least one (1) year or more in selected Service Area applying for (additional experience may be required, dependent on Service Area)
- Ability to provide references upon request
- Strong organizational and communications skills
- Diverse leadership and staff is highly desired
- Sensitivity and appreciation for diverse populations
- Experience working within early childhood education environment required for programmatic-related Service Areas
- Optional Non-Federal Match (NFM) contribution of at least be 10%

Regulatory Authority

Head Start regulations can be found here: http://eclkc.ohs.acf.hhs.gov/hslc

II. SCOPE OF SERVICES

Interested applicants are encouraged to apply to one or multiple scope of services listed below. If you applying to more than one Service Area, please respond with ONE complete submission, clearly separating responses for each of the Service Areas, *e.g.*,

Service Area No. 2 - a. Training, Service Area No. 5 - a. Professional Consulting Services-1. Program Consultant, 3. Policy & Procedures Operational Manuals

	Area No. 1:	TIMELINE
	/Technical Assistance & Capacity Building	
	le overall training and capacity in the following	From contract execution date
	o program staff, advisory boards, parent councils,	through June 30, 2029
and ot	her stakeholders:	
a.	Head Start Program Structure (Federal Level)	
	Provide background, history, guidance, audit,	
	inspections (if applicable)	
b.	Head Start Performance Standards including:	
	1. Program Governance	
	2. Financial and Administrative Requirements	
	3. Federal Administrative Procedures	
	4. Program Operations of all areas including:	
	• ERSEA	
	Education & Child Development	
	Program Services	
	Health Program Services	
	Family & Community Engagement	
	Services	
	• Services for Children with Disabilities	
	Transition Services	
	Services to Enrolled Pregnant Women	
	Human Resources Management	
	Program Management and Quality	
	Improvement	
c.	State Of California (State Level)	
	Provide background, history, guidance, audit,	
	inspections (if applicable)	
	1. CA Dept. of Education (CDE)	
	 Funding Programs, e.g., CCTR, CSPP, 	
	CPKS	
	• Nutritional program-CACFP, USDA	
	2. CA Dept. of Social Services (CDSS)	
	Community Care Licensing (Childeare)	
	(Childcare)Quality Counts California (QCC)	
	 Quality Rating & Improvement 	
	System (QRIS)	
d	Alameda County (Local Level)	
	Provide background, history, guidance, audit,	
	inspections (if applicable)	
	1. First 5 of Alameda County	
	2. Measure AA	

Service Area No. 2:	TIMELINE
Training, Coaching & Professional Development	
a. TRAINING	From contract execution date
Provide Pre-Service training for all staff, including,	through June 30, 2029
but not limited to the following topics:	6
1. Head Start Performance Standards	
2. State Licensing requirements	
3. Head Start Performance Standards	
4. Mandated Reporting	
5. Active Supervision	
6. Child and Parent Rights	
7. Head Start Reporting Requirement of Health	
& Safety Incidents	
8. Communication, Media Requests	
9. Office supplies, purchase requests	
10. Data collection (ChildPlus) & Confidentiality	
11. Health & Safety	
12. Nutrition	
13. Mental Health & Wellness	
14. Facilities & Maintenance	
15. Site Calendars & Planning Calendars	
16. IT support	
17. ERSEA	
18. CLASS Observations, Teaching Pyramid	
19. Child Assessments: DRDP, ASQ, ASQ-E	
20. Payroll, PTO, Vacation, Sick Leave	
21. Supervision of staff	
22. Professional Development Plans	
23. Staff Qualifications & Credentials	
24. Skills Profiles	
• HS/Preschool Classroom Staff	
• ESH/Infant / Toddler Classroom Staff	
• Family, Health & Disability Staff	
Home Visitors	
• Support Staff	
b. PROFESSIONAL DEVELOPMENT & COACHING	
Provide professional development using researched	
based coaching model (e.g., Practice-Based Coaching)	
to support Teachers, Home Visitors, and Coordinators	
······································	
1. Design and implement effective practices that	
lead to positive outcomes for children.	
2. Meet with management staff to provide	
progress update and status of implementation	
activities.	

3.	Provide modeling and training for teachers, center directors, and coordinators centered on research-based curriculum practices for children 0-5, assessment practices, teacher- child interactions, and quality environment rating scales for young children.	
4.	Conduct CLASS and Creative Curriculum trainings to enhance teacher-child interactions, classroom, and observations.	
5.	Lead classroom consultations and trainings with teaching teams in the form of education cohorts, on-site visits, and/or distance education formats to meet Office of Head Start School Readiness mandates, City of Oakland School Readiness Implementation Plans, and program policies and procedures.	
6.	Through reflection and collaboration, the teacher, center director, home visitor, and coach will create coaching/professional development plans which include goals and objectives based on; observations, written and video, with the intention of creating quality learning environment for children 0-5.	
Service Area	3: Annual Reports & Assessments	TIMELINE
a. Annu	al Program Evaluation Report	From contract execution date
•	Create and complete a comprehensive report that summarizes the program activity throughout the year, including participant demographics, year-end financial snapshot, program highlights, and areas for program improvement.	through June 30, 2029
b. Com	nunity Assessment	
•	Create and complete a community assessment (CA) through the collection and analysis of data related to the needs and characteristics of Head Start-eligible children and families in the grantee service area (i.e., geographic	

o Stratagia Dlan	
c. Strategic Plan	
• Create and complete a Strategic Plan to	
identify program goals, the strategies	
necessary to accomplish the goals and	
develop internal monitoring system used to	
monitor and evaluate progress.	
d. Provide guidance and consultation of PIR, including	
review of comprehensive data on the services, staff,	
children, and families served	
Service Area 4: Supply Vendors	TIMELINE
a. Dairy and alternative milk distributor & delivery	From contract execution date
Provide dairy and milk alternative for child	through June 30, 2029
participants that meet USDA child nutritional	
standards	
b. Food supply distributor & delivery	
• Provide food supplies and ingredients for	
central kitchen food production that meet	
USDA child nutritional standards	
c. Ready-made meals	
Provide ready-made meals to children &	
families when needed during emergencies,	
that adheres to USDA child nutritional	
standards	
d. Kitchen supply distributor & delivery	
 Provide kitchen supplies and ingredients for 	
central kitchen food production	
-	
e. Medical Supply	
• Provide health & medical supplies for	
programs, such as First Aid kits, diapers,	
personal protective equipment; medical	
equipment to monitor height, weight, vision	
and hearing of Early Head Start participants; COVID-19 tests.	
f. Furniture distributor	
Provide office furniture, full-service	
delivery, assist in the space planning and	
design.	
Service Area 5: Professional Consulting & Services	TIMELINE
a. PROFESSIONAL SERVICES	From contract execution date
1. Kitchen Equipment maintenance & repair –	through June 30, 2029
	unough June 30, 2029
repair and provide regularly scheduled	
preventative to extend equipment life	
2. General facilities maintenance for Center	
Sites – provide general maintenance and	
cleaning that adheres to local licensing	

standards

3	3. Garden Installation & maintenance – install
	new garden equipment and provide regularly
	preventative inspection and maintenance to
	extend equipment life for existing structures
4	 Playground renovation & repair - install new
	playground equipment and provide regularly
	preventative inspection and maintenance to
	extend equipment life for existing structures
5	5. Security and Safety Services – provide
-	security and safety staff at Center Sites for staff
	and children and provide presence to reduce
	and discourage criminal activity. Also provide
	security system monitoring services and
	options, fire system testing and fire sprinkler
	testing.
6	5. Sanitation Services – perform water waste
	pumping for reactional vehicle, disposal of
	waste, refilling of water supply
7	7. Recreational Vehicle maintenance & repair –
	provide maintenance and repair on the mobile
	recreational vehicle
8	8. Plumbing, Heating & Air conditioning
	services – repair, replacement and perform
	scheduled preventative maintenance to ensure
	the longevity of equipment
b. PRC	DFESSIONAL CONSULTING SERVICES
	grammatic)
	. Program Consultant
	Revisit the Organizational Structure
	Create macro-level functionality statements of
	all key positions, and also develop detailed
	roles and responsibilities.
	• Review existing organizational structure and
	determine if changes are needed.
	• Participate in weekly phone meetings with
	Head Start management staff to provide
	technical assistance in completing
	functionality statements.
	• Provide technical assistance in the area of

Head Start program regulations to Program

•	Manager through weekly one-on-one phone calls. Propose a new organizational structure, if needed, and provide technical assistance on organizational structures of Head Start agencies nationwide, including key roles and responsibilities of the positions. Support recruitment and hiring of a new Director, including but not limited to support in desting of announcement, autoech to	
	in drafting of announcement; outreach to organizations and contacts to solicit interest; and assisting with interviewing and screening, as available.	
•	Define Program Systems and Processes Review, update and finalize policies and procedures for all program activities with special emphasis on mandates, data procedures and data integrity, and monitoring of critical performance standards. Finalize management systems.	
•	Include the who, what, when and why of each activity.	
•	Review and advise on Program Goals and Objectives and Monitoring and Evaluation plan. Engage in strategic planning for the next 5 years of Head Start.	
•	<u>Clarify Roles, Responsibilities and</u> <u>Expectations</u> Develop micro-level work manuals based on the policies and procedures for each content area, addressing all areas of the Office of Head Start Regulations.	
•	Ongoing Technical Assistance Provide support and assistance on Head Start regulations, policies, procedures, and practices, as needed. Provide up to two (2) days, for 3 x per year (total of six (6) days, three trips) for training on specific regulations, policies and procedures, roles and responsibilities, etc.	

2.	Health & Nutrition Consultant
	• Review, modify, and approve CACFP
	menus for compliance with US
	Department of Agriculture regulations
	Conduct ongoing training to reinforce
	Health and Nutrition topics throughout
	the Program Year
	 Provide Health and Nutrition education
	to parents and caregivers on early
	childhood health and nutrition and on
	topics in response to local needs
	 Provide consultation to staff and
	families for children with nutrition
	concerns including allergies, special
	diets, feeding difficulties, iron
	deficiency, etc. Create assessments.
	Complete care plans.
	complete cure plans.
3.	ERSEA Consultant
0	Review & evaluate existing ERSEA process
-	and provide best practice recommendations to
	improve efficiency and eliminate redundancy
	1 5 5
4.	Policy & Procedures, Operational Manuals
0	
	and operation manuals, with updates that
	adhere to Head Start Program Performance
	Standards
5.	Professional Grant Writer
0	Research funding opportunities and execute
	all aspects of the funding application from
	start to finish, following approval from Head
	Start Director
6.	Motivational Interviewing Training
0	PART A: Onboarding & Training: Provide
	training for Head Start staff in the following
	areas:
	 Key concepts Motivational
	Interviewing
	• Evidence for use of Motivational
	Interviewing techniques
	 Application of Motivational
	Interviewing techniques to health
	services, family services, and other

relevant program areas.	
Practice of techniques through	
simulations.	
 PART B: Case Management & Technical 	
Assistance: Provide support to staff to use	
Motivational Interviewing skills in their	
daily work through:	
• Case conferences with program staff in	
the areas of health, mental health and	
disabilities, family services, ERSEA,	
and other relevant program areas.	
 Individual Supervision of application of 	
Motivational Interviewing techniques	
and case management techniques	
practice through individual mentoring.	
• PART C: Evaluation and Ongoing Skill	
Development	
Evaluate individual staff's	
understanding of key concepts,	
techniques, and the application of	
Motivation Interviewing skills	
 Evaluation of the use of Motivational 	
Interviewing techniques in a staff	
person's interactions with families and	
the public through case file review	
and or supervision of staff	
performance of duties.	
 Develop professional development 	
opportunities for staff of all skill	
levels to improve their use of	
•	
Motivational interviewing techniques.	
 PART D: Staff Support: Provide assistance with continuity of case 	
management during extended staff	
absences through:	
Individual family support	
• Family meetings	
• Case management in areas	
including health, mental health and	
disabilities, family services, and	
relevant program areas.	
7. Father and Male Figure Engagement	
• PART A: Complete assessment of Program	
policies and procedure and processes to	
identify areas to strengthen father and male	
figure engagement in all Program practices.	
Make specific recommendation to strengthen	

the ways the Program staff, partners, consultants, contractors, and volunteers engage fathers/male figures as advocates, lifelong educators, the first teachers of their children, and uphold that fathers and male figures serve key roles in the healthy development of their children and families and add to their Head Start communities. PART B: Provide direct training to Program staff, partners, consultants, contractors, and volunteers to implement recommendations made to strengthen father and father figure engagement throughout the Program. Provide consultation and technical assistance in updating policies and procedures and Program practices to strengthen father and father figure engagement throughout the Program. PART C: Evaluation and Ongoing Skill Development. On a timeline determined by the Program, evaluate the Program's activities and efforts to strengthen father and male figure engagement. Make specific recommendations for further steps to improve meaningful father and father figure engagement. 8. Mental Health/ Disabilities Behavioral / Inclusion Support a. Provide individual one-on one work with the enrolled child who has significant disabilities or behavior challenges to support inclusive practices. This work will be in partnership with the Head Start/Early Head Start center team, assigned consultants and parents based on a culturally competent trauma responsive care model that meets Head Start Performance Standards Provide group and individual training and technical assistance to staff, parents, consultants and partners on topics including, but not limited to: working with children with disabilities, curriculum modification

	and adaptation, environment supports	
	and adaptations, and behavior	
	strategies for children with behaviors	
	that are challenging. Individual	
	training and technical assistance may	
	include the purchase of supplies to	
	support trainings.	
•	Provide classroom support and	
	implementations of strategies for	
	children with disabilities or behavior	
_	challenges.	
•	Provide hands-on training of	
	classroom teachers, support staff and	
	parents in implementing strategies to	
	support children with disabilities or	
	behavior challenges. Trainings and	
	adaptation may include the purchase	
	of supplies to support trainings and	
	adaptations.	
•	Support the implementation and use of	
	appropriate routines and transitions	
	within the classrooms or at home (for	
	children in the Homebased program).	
•	Support the development of child's	
	classroom goals and objectives	
	including the development of social	
	emotional skills including, but not	
	limited to, appropriate play and self-	
	regulation skills.	
-	Support culturally appropriate, trauma	
-		
	responsive inclusive practices and	
_	attitudes among staff and parents.	
•	Support the linkage of IFSP/IEP goals	
	to the classroom setting and lesson	
	planning	
•	Attend Multi-Disciplinary Success	
	Team Meetings on regular basis and as	
	needed.	
•	Document services provided to Head	
	Start child, staff and parents for	
	effective record keeping.	
•	Develop and provide written plans and	
	input into various plans to support	
	individualization.	

b.	Additional Therapy Modalities	
с.	Behavior Aides	
d.	Speech and Language	
	 Based on availability, request, 	
	coordination and communication with	
	Disability Coordinator and Center	
	Staff, the speech therapist may provide	
	individualized and/or small group site-	
	based speech and language therapy	
	sessions,	
	Provide classroom observations,	
	trainings and technical assistance	
	support to teaching staff and/or	
	parents on language enrichment	
	activities to be included on lesson	
	plans and part of the curriculum.	
	Trainings and technical assistance may	
	include the purchase of supplies to	
	support trainings.	
	 Provide formal and informal 	
	screenings and assessments as needed	
	based on referrals from Head	
	Start/Early Head Start Centers and	
	Partner sites.	
	 Provide anticipatory guidance and 	
	home activities to parents for children	
	referred for service.	
	 Provide monthly follow-up or as 	
	needed by means of site visits to Head	
	Start/Early Head Start centers.	
	 Document all services provided. 	
	 Attend monthly meetings including 	
	monthly MDT (Multi-Disciplinary	
	Team) meetings and site-based	
	meetings, meetings with parents and	
	staff, and prepare activities report and	
	progress reports on all children	
	referred for service.	
	 Collaborate with teachers, staff, and 	
	parents to support children with	
	language delays and/or receiving	
	services through IFSPs or IEPs who	
	are transitioning either from Early	
	Head Start to Head Start or Head Start	
	to Kindergarten.	

 Collaborate v 	vith local health
	and service providers to
ensure that se	rvices are available and
accessible to	Head Start families.
e. Adverse Childho	od Experiences
Internal Server	ces - Community
Agencies wil	work collaboratively
with teachers	home visitors, family
advocates, sit	e directors, program
supervisors, p	orogram coordinators and
program cons	ultants to promote the
social and em	otional well-being of
young childre	$\sin(ages 0 - 5).$
• As per Head	Start Performance
Standard 130	2.45, Consultant will
assist teacher	s to improve classroom
management	and teacher practices
through strate	gies that include using
classroom ob	servations and
consultations	to address teacher and
individual ch	ld needs and creating
physical and	cultural environments
that promote	positive mental health
and social and	l emotional functioning.
Consultants r	nay provide culturally
appropriate/re	esponsive
parent/caregi	ver education in issues
related to chi	d mental health and
social emotio	nal well-being and
	eir child's social and
emotional dev	velopment.
Consultants v	vill incorporate strategies
for trauma in	formed care, while
6	dverse Childhood
Experiences A	
	vill document services
1	lead Start children and
1 0	ram records via
	omission or electronic
•	e., Consultant Contact
	Action Plans, Center
	Monthly Mental Health
	ksheets, etc.). As well as
	cument data in the
program's rec	cordkeeping & reporting

	database system. (T/TA will be	
	provided and ongoing.)	
•	Consultant will promote the delivery	
	of child-specific social-emotional	
	competencies and environments.	
	Consultant will take the lead with	
	specialized T/TA on intervention	
	strategies for vulnerable children.	
	Agencies will support implementation	
	of the CSEFEL Teaching Pyramid	
	Model. Attend TA/Coaching visits and	
	act as a liaison with regards to the	
	development of Positive Behavior	
	Support Plans.	
•	Consultant will provide support	
	through practiced based coaching and	
	facilitation of reflective practice with	
	program staff. Consultant will provide	
	strategies for trauma informed care	
	and addressing Adverse Childhood	
	Experiences (ACEs)	
•	Consultant will provide strategies for	
	trauma informed care and addressing	
	Adverse Childhood Experiences	
	(ACEs) including individual consults,	
	groups trainings/workshops, for Head	
	Start staff, parents, families and	
	consultants. Including supplies needed	
	for trainings/workshops and	
	implementation of practices.	
•	Consultants will support the program	
	in implementing a pilot program that	
	supports intervention for children,	
	families or staff identified as having	
	experienced high stress trauma.	
0.35		
	re AA & Measure C Project	
Mana	6	
a. h	Design & implement initial rollout	
b.	Create plan for ongoing programming	
c.	Design reporting templates that align	
	data exports from participant	
	information systems to budget,	
	reporting, and result-based	
	accountability templates	

10 Stoffing Again of far both Tommon
10. Staffing Agency for both Temporary &
Permanent Staff- Contractor agrees to
screen and evaluate all substitutes in
accordance with Screening Process and
Orientation.
A. Substitute Teaching
Provide on-call staff as requested by
City of Oakland Head Start. Requests
will only be filled with substitutes
holding Assistant Permits or lower,
whose work must be supervised per
licensing regulations. Provided staff
cannot be used in situations where
they must be alone with Head Start
children.
B. Kitchen Staffing
C. Administrative Staffing
D. Behavioral Aides & Instructional
Aides
11 Deep Education Dusquem Consultant
11. Peer Education Program Consultant
a. PART A: Program Design & Training
• Assist in the development of peer-to-
peer education and mentorship
programs on the topics of child health,
breastfeeding, nutrition, prenatal and
postnatal health and mental health,
mental health, family services, father
engagement, and related topics.
Incorporate quantitative and qualitative
data and data analysis from needs
assessment, self-assessment, area health
trends, surveys, and focus groups to
ensure program design reflects the
needs of the City of Oakland Head Star
Community.
 Train peer-to-peer educators and
mentors in topics to include child
health, nutrition, prenatal and postnatal
health and mental health, mental health,
family services, and related topics.
• Train peer-to-peer educators and
mentors in basic counseling skills.
b. PART B: Program Evaluation & Quality
Improvement

	 Design evaluation tool and or metrics 	
	to demonstrate the impact of peer-to-	
	peer education/mentorship programs.	
	 Solicit feedback from peer-to-peer 	
	educators and other program	
	participants. Synthesize feedback and	
	submit recommendations on program	
	improvements.	
с.	PART C: Peer-to-Peer	
	Education/Mentorship	
	 Participate in peer-to-peer 	
	education/mentorship training	
	program.	
	 Participate in continuing education 	
	opportunities provided through the	
	program.	
	 Serve as a peer-to-peer 	
	educator/mentor on topics identified as	
	important to HS families. Topics may	
	include but are not limited to child	
	health, breastfeeding, nutrition,	
	prenatal and postnatal health and	
	mental health, family services, and	
	related topics.	
	 Based on feedback from Head Start 	
	families, make suggestions on topics	
	address.	
	 Refer peers to program staff and 	
	community partners as needed.	
	 Participate in evaluation of program 	
	impact.	
	 Participate in quality improvement 	
	projects to improve program offerings	
12. Sc	hool Readiness Consultant	
a.	Perform classroom observations and fact-	
	finding interviews with various levels of	
	staff (including: Teachers, Family	
	Advocates, Program Supervisor, and	
	School Readiness Coordinator) for 17	
	Head Start and Early Head Start centers.	
b.	Facilitate a formal data analysis process	
	annually to identify the focus for coaching	
	across various program options and to	
	identify which instructional staff can	
	benefit from intensive coaching or other	
	coaching supports.	

с.	Develop and present a written summary of	
	findings to the School Readiness team and	
	Director, which includes a proposed	
	coaching schedule and timeline,	
	identifying the frequency and duration of	
	coaching cycles.	
d.	In collaboration with leadership, develop	
	comprehensive policies and procedures,	
	forms and monitoring tools directly	
	related to education and school readiness.	
e.	Keep up to date records for instructional	
	staff participating in coaching and	
	maintain detailed record keeping across	
	professional development systems.	
f.	Provide ongoing training and technical	
	assistance to develop effective and	
	efficient school readiness systems.	
g.	Create ongoing monitoring tools that	
E C	support the provision of educational	
	services, including classroom	
	observations, socialization observations,	
	curriculum fidelity, School Readiness	
	goals, Assessment, HOVRS-3	
	Implementation, and Coordinated	
	Professional Development systems	
h.	Ensure newly developed School	
	Readiness goals meet the minimum	
	requirements as outlined in the Head Start	
	Program Performance Standards	
i.	Conduct full 30-minute observations of	
	Home Visitors using the HOVRS-e tool	
j.	Support on-boarding, on-going	
5	implementation of School Readiness goals	
	for Head Start Contracting Partners	
	(emphasis on curriculum fidelity, active	
	supervision, health/safety, trauma	
	informed approaches, equity)	
	11 7 - 1 77	
13. P	rofessional Event Planner	
a.	Plan and coordinate Staff, Advisory Board	
	& Parent Council Retreats	
b.	Plan and coordinate Special Events	
14.0	fterroug dougloss on the st	
	ftware developer, application	
a.	Develop management information system	
	to consolidate multiple data entry	
	applications into one repository to help	

	streamline workflow and improve	
	analysis, monitoring, and evaluation	
b.	Develop maintenance & facilities	
	application for managing & tracking daily	
	work order requests	
с.	Develop Inventory system to track orders	
	and distribution of items to staff and	
	Center Sites	
d.	Train and onboard staff to databases used	
	by the Program and provide on-site	
	technical support to sites for T&TA	
	11	
15. M	onitoring and Quality Improvement	
a.	Develop regular reporting templates and	
	dashboards using Tableau or Power BI to	
	show progress to meet quality metrics	
b.	Reviews and supports design updates to	
	monitoring systems, offers suggestions on	
	system improvements, and provides T/TA	
	on use of systems to improve compliance	
	with Head Start Performance Standards,	
	the US Department of Agriculture Child	
	and Adult Care Food Program (CACFP)	
	regulations, and Community Care	
	Licensing standards and regulation.	
с.	Reviews ChildPlus reports and other	
	forms of program data for staff	
	compliance with standards and	
	regulations.	
d.	Evaluate multiple assessment data sets to	
	help inform long-term planning goals	
e.	Design MACROS or Power BI systems to	
	compile, summarize, and assess data for	
	reporting and quality improvement	
	Conduct Race and Equity Analysis	
16. Qu	alitative Researcher	
a.	Develop qualitative research questions	
	based on City of Oakland Head Start	
	grant, program goals, needs assessment,	
	self-assessment, and community data on	
	topics including but not limited to health,	
	nutrition, mental health, disabilities,	
	family services, and community needs.	
b.	Develop research plan to answer	
	qualitative research questions including,	
	but not limited to, individual interviews,	
	informational interviews with community	

organizations, and focus groups	
c. Plan, host, and conduct focus groups with	
participating as well as non-participating	
families.	
d. Recruit participants and conduct 1:1	
interview with current participants, past	
participants, and non-participants.	
e. Plan and conduct informational interviews	
with community partners and leaders.	
f. Plan and conduct oral/written/mixed	
method surveys.	
g. Provide notes and recordings of research	
activities, where applicable.	
h. Provide report of findings from research	
activities to inform City of Oakland Head	
Start programming.	
$17 M_{\rm ext} = W + D$	
17. Marketing, Web Designer, Photo Wideographer	
Photo/Videographer	
a. Web developer	
Create City of Oakland Head Start wakaita that contains measureming	
website that contains programming	
information – Center Site Information,	
Program Calendar, Special Events,	
Application and Enrollment, etc.	
b. Marketing and Design Services	
Graphic designer to create logo, branding materials, collectoral or	
branding materials, collateral or promotional materials, style guides to	
improve branding and identity	
 Develop and implement a multi-media 	
communications strategy to establish,	
brand/ identify, and demonstrate impact	
of citizen initiatives along with	
messaging and marketing activates to	
boost program visibility and resident	
participation	
 Manage implementation of billboard 	
and other (social) media strategies	
c. Photographer/Videographer	
 Take photographs/record videos (audio) 	
and/or visual) events, programs, etc. at	
Center Sites, or other Head Start events	
and gatherings	
18. Other Strategic Planning & Special Projects	
a. Design and implement strategic	
approaches and solutions within	

program and/or several program areas that will help define and develop short-term and long-term strategies Assess and advance strategies and approaches to address ways to improve programmatic and operational efficiencies	
Evaluate existing challenges and administer solutions for other special projects as they develop throughout the program year	

III. THE PROPOSAL

A. GENERAL INFORMATION

- 1. The successful proposer selected for this service shall obtain or provide proof of having a current City of Oakland Business tax Certificate.
- 2. The City Council reserves the right to reject any and all bids.

3. The City's Living Wage Ordinance

This Agreement is subject to the Oakland Living Wage Ordinance. The Living Wage Ordinance requires that nothing less than a prescribed minimum level of compensation (a living wage) be paid to employees of service Contractors (contractors) of the City and employees of CFARs (Ord. 12050 § 1, 1998). Oakland employers are also subject to the City of Oakland Minimum Wage law (see Section 5, below), and must pay employees wages and provide benefits consistent with the Minimum Wage law or Oakland Living Wage Ordinance, whichever are greater.

The Ordinance also requires submission of the Declaration of Compliance attached and incorporated herein as <u>Declaration of Compliance – Living</u> <u>Wage Form</u>; and made part of this Agreement, and, unless specific exemptions apply or a waiver is granted, the contractor must provide the following to its employees who perform services under or related to this Agreement:

a. Minimum compensation – Said employees shall be paid an initial hourly wage rate of \$16.93 with health benefits or \$19.44 without health benefits. These initial rates shall be upwardly adjusted each year no later than April 1 in proportion to the increase at the immediately preceding December 31 over the year earlier level of the Bay Region

Consumer Price Index as published by the Bureau of Labor Statistics, U.S. Department of Labor. Effective July 1st of each year, Contract shall pay adjusted wage rates.

- b. Health benefits Said full-time and part-time employees paid at the lower living wage rate shall be provided health benefits of at least \$2.51 per hour. Contractor shall provide proof that health benefits are in effect for those employees no later than 30 days after execution of the contract or receipt of City financial assistance.
- c. Compensated days off Said employees shall be entitled to twelve compensated days off per year for sick leave, vacation or personal necessity at the employee's request, and ten uncompensated days off per year for sick leave. Employees shall accrue one compensated day off per month of full-time employeent. Part-time employees shall accrue compensated days off in increments proportional to that accrued by full-time employees. The employees shall be eligible to use accrued days off after the first six months of employment or consistent with company policy, whichever is sooner. Paid holidays, consistent with established employer policy, may be counted toward provision of the required 12 compensated days off. Ten uncompensated days off shall be made available, as needed, for personal or immediate family illness after the employee has exhausted his or her accrued compensated days off for that year.
- d. Federal Earned Income Credit (EIC) To inform employees that he or she may be eligible for Earned Income Credit (EIC) and shall provide forms to apply for advance EIC payments to eligible employees. For more information, web sites include but are not limited to: (1) <u>https://www.irs.gov/</u> and <u>https://www.irs.gov/creditsdeductions/individuals/earned-income-tax-credit</u>
- e. Contractor shall provide to all employees and to Department of Workplace and Employment Standards (DWES) written notice of its obligation to eligible employees under the City's Living Wage requirements. Said notice shall be posted prominently in communal areas of the work site(s) and shall include the above-referenced information.
- f. Contractor shall provide all written notices and forms required above in English, Spanish or other languages spoken by a significant number of employees within 30 days of employment under this Agreement.
- g. Reporting Contractor shall maintain a listing of the name, address, hire date, occupation classification, rate of pay and benefits for each of its employees. Contractor shall provide a copy of said list to the Department of Workplace and Employment Standards (DWES), on a quarterly basis, by March 31, June 30, September 30 and December 31 for the applicable compliance period. Failure to provide said list within

five days of the due date will result in liquidated damages of five hundred dollars (\$500.00) for each day that the list remains outstanding. Contractor shall maintain employee payroll and related records for a period of four (4) years after expiration of the compliance period.

- h. Contractor shall require subcontractors that provide services under or related to this Agreement to comply with the above Living Wage provisions. Contractor shall include the above-referenced sections in its subcontracts. Copies of said subcontracts shall be submitted to Department of Workplace and Employment Standards (DWES).
- 4. Minimum Wage Ordinance

Oakland employers are subject to Oakland's Minimum Wage Law, whereby Oakland employees must be paid the current Minimum Wage rate. Employers must notify employees of the annually adjusted rates by each December 15th and prominently display notices at the job site. The law requires paid sick leave for employees and payment of service charges collected for their services. This contract is also subject to Oakland's Living Wage Ordinance (see Section 4, above), and must pay employees wages and provide benefits consistent with the Living Wage Ordinance, whichever are greater.

For further information, please go to the following website: <u>https://www.oaklandca.gov/topics/minimum-wage-paid-leave-service-charges</u>

5. Equal Benefits Ordinance

This Agreement is subject to the Equal Benefits Ordinance of Chapter 2.32 of the Oakland Municipal Code and its implementing regulations. The purpose of this Ordinance is to protect and further the public, health, safety, convenience, comfort, property and general welfare by requiring that public funds be expended in a manner so as to prohibit discrimination in the provision of employee benefits by City Contractors (contractors) between employees with spouses and employees with domestic partners, and/or between domestic partners and spouses of such employees. (Ord. 12394 (part), 2001)

The following contractors are subject to the Equal Benefits Ordinance: Entities which enter into a "contract" with the City for an amount of twentyfive thousand dollars (\$25,000.00) or more for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided at the expense of the City or to be paid out of moneys deposited in the treasury or out of trust moneys under the control of or collected by the city; and Entities which enter into a "property contract"

pursuant to Section 2.32.020(D) with the City in an amount of twenty-five thousand dollars (\$25,000.00) or more for the exclusive use of or occupancy (1) of real property owned or controlled by the city or (2) of real property owned by others for the city's use or occupancy, for a term exceeding twenty-nine (29) days in any calendar year.

The Ordinance shall only apply to those portions of a Contractor's operations that occur (1) within the City; (2) on real property outside the City if the property is owned by the City or if the City has a right to occupy the property, and if the contract's presence at that location is connected to a contract with the City; and (3) elsewhere in the United States where work related to a City contract is being performed. The requirements of this chapter shall not apply to subcontracts or sub-contractors.

The Equal Benefits Ordinance requires among other things, submission of the attached and incorporated herein as **Schedule N-1**, Equal Benefits-Declaration of Nondiscrimination form. For more information, see http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQ http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQ http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQ http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQ http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQ http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQ <a href="http://library.municode.com/HTML/16308/level2/TIT2ADPE_TTT2

6. <u>Prompt Payment Ordinance</u> OMC Section 2.06.070 Prompt Payment Terms Required in Notices Inviting Bids, Requests for Proposals/Qualifications and Purchase Contracts

This Agreement is subject to the Prompt Payment Ordinance of Oakland Municipal Code, Title 2, Chapter 2.06. The Ordinance requires that, unless specific exemptions apply. Contractor and its subcontractors shall pay undisputed invoices of their subcontractors for goods and/or services within twenty (20) business days of submission of invoices unless the Contractor or its subcontractors notify the Liaison in writing within five (5) business days that there is a bona fide dispute between the Contractor or its subcontractor and claimant, in which case the Contractor or its subcontractor may withhold the disputed amount but shall pay the undisputed amount.

Disputed payments are subject to investigation by the City of Oakland Liaison upon the filing of a compliant. Contractor or its subcontractors opposing payment shall provide security in the form of cash, certified check or bond to cover the disputed amount and penalty during the investigation. If Contractor or its subcontractor fails or refuses to deposit security, the City will withhold an amount sufficient to cover the claim from the next Contractor progress payment. The City, upon a determination that an undisputed invoice or payment is late, will release security deposits or withholds directly to claimants for valid claims.

Contractor and its subcontractors shall not be allowed to retain monies from subcontractor payments for goods as project retention and are required to release subcontractor project retention in proportion to the subcontractor services rendered, for which payment is due and undisputed, within five (5) business days of payment. Contractor and its subcontractors shall be required to pass on to and pay subcontractors mobilization fees within five (5) business days of being paid such fees by the City. For the purpose of posting on the City's website, Contractor and its subcontractors, are required to file notice with the City of release of retention and payment of mobilization fees, within five (5) business days of such payment or release; and, Contractors are required to file an affidavit, under penalty of perjury, that he or she has paid all subcontractors, within five (5) business days following receipt of payment from the City, The affidavit shall provide the names and address of all subcontractors and the amount paid to each.

Contractor and its subcontractors shall include the same or similar provisions as those set forth above in this section in any contract with a contractor or subcontractor that delivers goods and/or services pursuant to or in connection with a City of Oakland purchase contract.

Prompt Payment invoice and claim forms are available at the following City Oakland website: https://www.oaklandca.gov/resources/promptof payment-forms or at Department of Workplace and Employment Standards (DWES), 250 Frank H. Ogawa Plaza, Suite 3341, Oakland, CA 94612. Invoice and claim inquiries should be directed to Vivian Inman, City of Payment Liaison, 510-238-6261 Oakland Prompt or email vinman@oaklandca.gov.

7. Non-Discrimination/Equal Employment Practices

Contractor shall not discriminate or permit discrimination against any person or group of persons in any manner prohibited by federal, state or local laws. During the performance of this Agreement, Contractor agrees as follows:

a. Contractor and Contractor's sub-contractors, if any, shall not discriminate against any employee or applicant for employment because of age, marital status, religion, gender, sexual preference, race, creed, color, national origin, Acquired-Immune Deficiency Syndrome (AIDS), AIDS-Related Complex (ARC) or disability. This nondiscrimination policy shall include, but not be limited to, the following: employment, upgrading, failure to promote, demotion or transfer, recruitment advertising, layoffs, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.

- b. Contractor and Contractor's Sub-contractors shall state in all solicitations or advertisements for employees placed by or on behalf of Contractor that all qualified applicants will receive consideration for employment without regard to age, marital status, religion, gender, sexual preference, race, creed, color, national origin, Acquired-Immune Deficiency Syndrome (AIDS), AIDS-Related Complex (ARC) or disability.
- c. Contractor shall make its goods, services, and facilities accessible to people with disabilities and shall verify compliance with the Americans with Disabilities Act by executing <u>Declaration of Compliance with the Americans with Disabilities Act</u>, attached hereto and incorporated herein.
- d. If applicable, Contractor will send to each labor union or representative of workers with whom Contractor has a collective bargaining agreement or contract or understanding, a notice advising the labor union or workers' representative of Contractor's commitments under this nondiscrimination clause and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- e. Contractor shall submit information concerning the ownership and workforce composition of Contractor's firm as well as its sub-Contractors and suppliers, by completing the <u>Ownership</u>, <u>Ethnicity and Gender</u> <u>Questionnaire</u>.
- f. The Project Contractor Team attached and incorporated herein and made a part of this Agreement, <u>Exit Report and Affidavit</u>, attached and incorporated herein and made a part of this Agreement.
- g. All affirmative action efforts of Contractors are subject to tracking by the City. This information or data shall be used for statistical purposes only. All Contractors are required to provide data regarding the make-up of their sub-Contractors and agents who will perform City contracts, including the race and gender of each employee and/or Contractor and his or her job title or function and the methodology used by Contractor to hire and/or contract with the individual or entity in question.
- h. The City will immediately report evidence or instances of apparent discrimination in City or Agency contracts to the appropriate State and Federal agencies and will take action against Contractors who are found to be engaging in discriminatory acts or practices by an appropriate State or Federal agency or court of law, up to and including termination or debarment.
- i. In the recruitment of sub-Contractors, the City of Oakland requires all Contractors to undertake nondiscriminatory and equal outreach efforts,

which include outreach to minorities and women-owned businesses as well as other segments of Oakland's business community. The City Administrator will track the City's MBE/WBE utilization to ensure the absence of unlawful discrimination on the basis of age, marital status, religion, gender, sexual preference, race, creed, color, national origin, Acquired-Immune Deficiency Syndrome (AIDS), AIDS-Related Complex (ARC) or disability.

- j. In the use of such recruitment, hiring and retention of employees or sub-Contractors, the City of Oakland requires all Contractors to undertake nondiscriminatory and equal outreach efforts which include outreach to minorities and women as well as other segments of Oakland's business community.
- 8. Arizona and Arizona-Based Businesses

Contractor agrees that in accordance with Resolution No. 82727 C.M.S., neither it nor any of its subsidiaries, affiliates or agents that will provide services under this agreement is currently headquartered in the State of Arizona and shall not establish an Arizona business headquarters for the duration of this agreement with the City of Oakland or until Arizona rescinds SB 1070.

Contractor acknowledges its duty to notify Department of Purchasing, if it's Business Entity or any of its subsidiaries affiliates or agents subsequently relocates its headquarters to the State of Arizona. Such relocation shall be a basis for termination of this agreement.

9. Sanctuary City Contracting and Investment Ordinance

Ordinance N.O. 13540 CMS was adopted by the Oakland City Council on June 4th, 2019, and prohibits the City from contracting with any person or entity that provides the United States Immigration and Customs Enforcement (ICE) services or goods for data collection or with the United States Customs and Border Protection (CBP) Customs and Border Protection (CBP), or the Department of Health and Human Services Office of Refugee Resettlement (HHS/ORR) to support immigration detention facilities. These contractors are not to be used unless the City Council makes a specific determination that no reasonable alternative exists. The ordinance also prohibits the City from investing in any of these companies and requires the City to include notice of these prohibitions in any Requests for Proposals (RFPs), and any construction or other contracting bids. The ordinance also requires that the City provide an annual report to the Privacy Advisory Commission on its enforcement.

11. Border Wall Ordinance

This contract is subject to the Border Wall Ordinance of Oakland Municipal Code (Ordinance 13459 C.M.S, passed November 28, 2017) and effective immediately upon adoption. The purpose of the ordinance is to mandate and direct the City Administrator- in instances where there is no significant additional cost, to be defined in regulations, or conflict with law- to refrain from entering into new or amended contracts to purchase professional, technical, scientific or financial services, goods, construction labor and materials or other services, or supplies from businesses that enter into contracts to provide such services, goods, materials or supplies to build the U.S.-Mexico border wall;

The City of Oakland shall be prohibited from entering into any contractual agreement for the purchase of services, goods, equipment, *cyber network or cloud computing, internet, or cloud-based computer technology or* services with any *"BORDER WALL ENTITY"* individual, firm, or financial institution who provides any services, goods, equipment or information technology or cloud-based technology or services, to the construction a wall along any part of the United States – Mexico border.

All vendors seeking to do business with the City of Oakland must complete and sign "Schedule W" as a statement of compliance with Ordinance 13459 C.M.S,

12. <u>Pending Dispute Disclosure Policy</u>:

Contractors are required to disclose pending disputes with the City of Oakland when they are involved in submitting bids, proposals or applications for a City contract or transaction involving professional services. This includes contract amendments. Contractor agrees to disclose and has disclosed, any and all pending disputes to the City prior to the execution of this agreement. The City will provide a form for such disclosure upon Contractor's request. Failure to disclose pending disputes prior to execution of this amendment shall be a basis for termination of this agreement.

13. City of Oakland Campaign Contribution Limits

This Agreement is subject to the City of Oakland Campaign Reform Act of Chapter 3.12 of the Oakland Municipal Code and its implementing regulations if it requires Council approval. The City of Oakland Campaign Reform Act prohibits Contractors that are doing business or seeking to do business with the City of Oakland from making campaign contributions to Oakland candidates between commencement of negotiations and either 180 days after completion of, or termination of, contract negotiations. If this Agreement requires Council approval, Contractor must sign and date an Acknowledgment of <u>Campaign Contribution Limits Form</u>.

14. Nuclear Free Zone Disclosure

Contractor represents, pursuant to the combined form <u>Nuclear Free Zone</u> <u>Disclosure Form</u> that Contractor is in compliance with the City of Oakland's restrictions on doing business with service providers considered nuclear weapons makers. Prior to execution of this agreement, Contractor shall complete the combined form, attached hereto.

15. SCOPE, OWNERSHIP AND USE OF CITY DATA

a. Scope of City Data

City data ["Data"] shall consist of any and all data disclosed or provided by the City to Contractor, or collected, developed or generated by Contractor, whether pursuant or incidental to the purposes of the Agreement

b. Ownership

All Data shall be the exclusive property of and all ownership rights therein shall vest in the City. To the extent necessary, Contractor hereby assigns to the City any and all rights which arise out of, are developed in connection with, or are the results of the services Contractor provides under the Agreement. This provision shall not apply to Data defined as Exceptions under Section 123 (c) ["Proprietary or Confidential Information"].

c. Use of City Data

Contractor avers and covenants to not use City Data for any purpose whatsoever other than to fulfill its obligations to City under the Agreement. Contractor shall fully indemnify City for any claims against City resulting from Contractor's use of City Data in violation of this provision.

16. <u>SECURITY OF CITY DATA</u>

a. City Data is highly sensitive, confidential and is of paramount importance to the City because unauthorized disclosures of the Data

could seriously harm City and possibly third parties. Contractor acknowledges that City, in entering into this Agreement with Contractor, is relying upon Contractor's professional expertise, knowhow, judgment, experience and its representations in its System Security Plan [Exhibit 2] that the integrity of the security, availability and processing of its System protects and preserves the confidentiality and privacy of the Data. Further, Contractor represents that, at all times, its System will maintain and ensure that the Data remains secure and does not through any of Contractor's actions or lack of thereof become vulnerable to unauthorized access by third parties. Contractor further warrants that its System has been accredited under currently recognized industry recognized standards.

b. Notwithstanding as may be otherwise provided in this Agreement and with the exception of those instances for which City is responsible, Contractor avers and covenants to be solely responsible for restoring and correcting any corruption to City Data that occur by reason of Contractor's actions or lack thereof, including ransomware attacks upon Contractor, and to fully indemnify City for any claims against City resulting from corruption of City Data and other injuries ensuing from, but not limited to, the herein forestated events.

17. <u>INDEPENDENT CONTRACTOR</u>

Rights and Responsibilities. City shall have no authority over or responsibility for employees and subcontractors of Contractor. It is expressly agreed that, in the performance of the services necessary to carry out this Agreement, Contractor shall be, and is, an independent contractor, and is not an employee of the City. Contractor has complete and sole discretion for the manner in which the Work and Services under this Agreement is performed. Contractor will determine the method, details and means of performing the Services described in the SOW.

Contractor acknowledges and agrees that (i) Contractor's employees and subcontractors are under Contractor's sole supervision, direction and full control. of Contractor, and, (ii) that Contractor controls the employment, direction, compensation and discharge of all persons assisting Contractor in the performance of Contractor's services hereunder. Contractor shall be solely responsible for all matters relating to the payment of his/her employees, including compliance with social security, withholding and all other regulations governing such matters, and shall be solely responsible for Contractor's own acts and those of Contractor's subordinates and employees.

Contractor is responsible for paying, when due, all income taxes, including estimated taxes, incurred as a result of the compensation paid by the City to Contractor for services under this Agreement. On request, Contractor will provide the City with proof of timely payment. Contractor agrees to indemnify the City for any claims, costs, losses, fees, penalties, interest or damages suffered by the City resulting from Contractor's failure to comply with this provision.

Non-Exclusive Relationship Contractor may perform services for, and contract with, as many additional clients, persons or companies as Contractor, in his or her sole discretion, sees fit.

Cooperation of the City The City agrees to comply with all reasonable requests of Contractor necessary to the performance of Contractor's duties under this Agreement.

Extra Work Contractor will do no extra work under this Agreement without first receiving prior written authorization from the City.

18. Sample Professional Service Agreement

This Agreement is subject to the attached Sample Professional Service Agreement.

19. Insurance Requirements

The Contractor will be required to provide proof of all insurance required for the work prior to execution of the contract, including copies of the Contractor's insurance policies if and when requested. Failure to provide the insurance proof requested or failure to do so in a timely manner shall constitute grounds for rescission of the contract award.

The Contractor shall name the City of Oakland, its Council members, directors, officers, agents, employees and volunteers as additional insured in its Comprehensive Commercial General Liability and Automobile Liability policies. If Contractor submits the ACORD Insurance Certificate, the additional insured endorsement must be set forth on a CG20 10 11 85 form and/or CA 20 48 - Designated Insured Form (for business auto insurance).

Please Note: A statement of additional insured endorsement on the ACORD insurance certificate is insufficient and will be rejected as proof of the additional insured requirement.

Unless a written waiver is obtained from the City's Risk Manager, Contractors must provide the insurance as found at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u> (Schedule Q). A copy of the requirements is attached and

incorporated herein by reference. Liability insurance shall be provided in accordance with the requirements specified.

When providing the insurance, include the Project Name and Project Number on the ACORD form in the section marked Description of Operations/Locations.

When providing the insurance, the "Certificate Holder" should be listed as: City of Oakland, Finance Department, 150 Frank H. Ogawa Plaza, Suite 6213, Oakland, CA 94612.

20. City Contractor Performance Evaluation

At the end of the project, the Project Manager will evaluate the Contractor's Performance in accordance with the City Contractor Performance Evaluation program.

21. Violation Of Federal, State, City/Agency Laws, Programs Or Policies:

The City or Agency may, in their sole discretion, consider violations of any programs and policies described or referenced in this Request for Proposal, a material breach and may take enforcement action provided under the law, programs or policies, and/or terminate the contract, debar contractors from further contracts with City and Agency and/or take any other action or invoke any other remedy available under law or equity.

22. Contractor's Qualifications

Contractor represents that Contractor has the qualifications and skills necessary to perform the services under this Agreement in a competent and professional manner without the advice or direction of the City. Contractor's services will be performed in accordance with the generally accepted principles and practices applicable to Contractor's trade or profession. The Contractor warrants that the Contractor, and the Contractor's employees and sub-contractors are properly licensed, registered, and/or certified as may be required under any applicable federal, state, and local laws, statutes, ordinances, rules, and regulations relating to Contractor's performance of the Services. All Services provided pursuant to this Agreement shall comply with all applicable laws and regulations. Contractor will promptly advise City of any change in the applicable laws, regulations, or other conditions that may affect City's program. This means Contractor is able to fulfill the requirements of this Agreement. Failure to perform all of the services required under this Agreement will constitute a material breach of the Agreement and may be cause for termination of the Agreement. Contractor has complete and sole discretion for the manner in which the work under this Agreement is performed. Prior to the execution of this agreement, Contractor shall complete the <u>Independent Contractor</u> <u>Questionnaire, Part A</u>, attached hereto.

- 23. All responses to the RFP become the property of the City.
- 24. The RFP does not commit the City to award a contract or to pay any cost incurred in the preparation of the proposal.
- 25. The City reserves the sole right to evaluate each proposal and to accept or reject any or all proposals received as a result of the RFP process.
- 26. The City reserves the unqualified right to modify, suspend, or terminate at its sole discretion any and all aspects of the RFP and/or RFP process, to obtain further information from any and all Contractor teams and to waive any defects as to form or content of the RFP or any responses by any contractor teams
- 27. The City may require a service provider to participate in negotiations and submit technical information or other revisions to the service provider's qualifications as may result from negotiations.
- 28. All documents and information submitted to the City of Oakland in response to an RFP are public records pursuant to California Government Code, Sections 6254, et seq. and City of Oakland Sunshine Ordinance, Oakland Municipal Code Chapter 2.20. The City shall disclose such documents and information upon request by any member of the public, absent a mandatory duty to withhold or a discretionary exemption that the City may choose to exercise. The City shall not in any way be liable or responsible for any disclosures of documents or information made pursuant to a request under the Public Records Act or the City of Oakland Sunshine Ordinance.
- 29. The Fair Political Practices Act and/or California Government Code Section 1090, among other statutes and regulations may prohibit the City from contracting with a service provider if the service provider or an employee, officer or director of the service providers' firm, or any immediate family of the preceding, or any sub-contractor or contractor of the service provider, is serving as a public official, elected official, employee, board or commission member of the City who will award or influence the awarding of the contract or otherwise participate in the making of the contract. The making of a contractor such as, but not limited to, involvement in the reasoning, planning and/or drafting of solicitations for bids and RFP's, feasibility studies, master plans or preliminary discussions or negotiations.

B. SUBMITTAL REQUIREMENTS

Submit Proposals electronically to iSupplier: Please log on to iSupplier to submit your proposal before the 2:00 P.M. deadline. Questions regarding online submittal through iSupplier must be directed to isupplier@oaklandca.gov with the heading of "RFP Assistance" or refer to the iSupplier user guide at https://www.youtube.com/watch?v=DMLb2hFLd0Y

All proposals must include the project name, submittal date, and time the proposals are due on the documents.

C. REQUIRED PROPOSAL ELEMENTS AND FORMAT

- 1. <u>Transmittal Letter</u>
 - a. For the transmittal letter, only. Addressed to Jestin Johnson, City Administrator, Office of the City Administrator, City Hall, 1 Frank Ogawa Plaza, 3rd Floor, Oakland, California, 94612. (Please do not submit proposals to this address or forward proposals to this address.)
 - b. Signed by an officer of the consultant. In case of a joint venture or other joint-prime relationship, an officer of each venture partner shall sign.

2. Project Team

- a. In response to this RFP, the prime contractor shall be a qualified consulting firm.
- b. Sub-Consultants (if used): list addresses, telephone numbers, and areas of expertise of each. Briefly describe the project responsibility of each team member. Identify which contractors are MBE, WBE, Local Business Enterprises (LBE), and Small Local Business Enterprise (SLBE). Additionally, for LBEs/SLBEs, submit a copy of the current business license and date established in Oakland.
- 3. Project Personnel
 - a. Prime(s): Provide a detailed resume of the proposed principal-incharge, lead person and the project manager(s). The Project Manager(s) shall be a full-time employee of the prime(s). Clearly identify experience.

Sub- Consultants: Provide a detailed resume of the proposed project manager, who shall be a full-time employee of each sub-contractor for this project. Clearly identify relevant experience. He/she shall be a professional currently licensed in the State of California.

4. <u>Relevant Experience</u>

- a. Describe experiences performing similar functions in three local government operations to include a brief description of recommendations and outcomes.
- b. If the team has worked together collaboratively, please include a description of this work.
- c. Describe experiences and ability to work effectively with City staff, community groups, and other stakeholders.
- 5. Project Approach and Organization
 - a. Present your concept of the approach and organization required for this project. Indicate your understanding of the critical project elements.
 - b. Describe how you intend to interface with City staff and the community.

6. <u>References</u>

- a. Prime Consultant(s): Three business related references, giving name, company, address, telephone number and business relationship.
- b. Proposed Project Manager(s): Two business related references, giving name, company, address, telephone number and business relationship to project manager.

7. Billing Rates

- a. Provide a complete list of all staff hourly rates by category, i.e., Principal, Project Manager, Project Professional, Technician, Clerical, etc. Hourly rates shall be <u>all-inclusive</u>, i.e., base salary, fringe benefits, overhead, profit, etc.
- b. Shall be all-inclusive, i.e., base salary, fringe benefits, overhead, profit, etc.

- 8. Submittals are validated using the following RFP Checklist.
 - a Schedules (Required with submission)
 - 1. Schedule E Project Consultant Team
 - 2. Schedule I Sanctuary City Contracting and Investment Ordinance
 - 3. Schedule O Campaign Contribution Limits
 - 4. Schedule W Border Wall Prohibition
- 9. Other schedules must be submitted prior to full contract execution and are available at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u>
- 10. Addenda Proposal and Acknowledgment of all Addenda if issued, please provide signed addenda and submit with proposal.
- 11. Proprietary Information: All responses to the RFP become the property of the City. To <u>withhold financial and proprietary information</u>, please label each page as "confidential" or "proprietary".
- 12. Public Records Act or Sunshine Ordinance: Although a document may be labeled "confidential" or "proprietary", information is still subject to disclosure under the Public Records Act or Sunshine Ordinance, and is, at the City's discretion, based on the potential impact of the public's interests whether to disclose "confidential" or "proprietary" information.

D. REJECTION OF PROPOSAL ELEMENTS

The City reserves the right to reject any or all proposals, whether minimum qualifications are met, and to modify, postpone, or cancel this RFP without liability, obligation, or commitment to any party, firm, or organization. The City reserves the right to request and obtain additional information from any candidate submitting a proposal. A proposal may be rejected for any of the following reasons:

- Proposal received <u>after</u> designated time and date.
- Proposal not containing the required elements, exhibits, nor organized in the required format.
- Proposal considered not fully responsive to this RFP.

E. EVALUATION OF PROPOSALS

The following sample of criteria and the points for each criterion, for a total of 105 points, <u>may</u> be used in evaluating and rating the proposals:

1)	Relevant Experience
	 Past, recently completed, or on-going local government projects to substantiate experience.
	 Experience on at least three (3) projects providing services like those described in this RFP.
	 Prior experience and ability to work with City staff, community groups, and other stakeholders.
2)	 <u>Qualifications</u>
3)	 <u>Organization</u>
	 work. Ability to perform on short notice and under time constraints. Cost control procedures in design and construction. Ability to perform numerous projects at the same time.
4)	 <u>Approach</u>
5)	Cost/Fees
6)	L/SLBE Certified Business Participation2-5 points
	Other Factors
	 Presentation, completeness, clarity, organization, and responsiveness of proposal.

F. INTERVIEWS OF SHORT-LISTED FIRMS

Interviews of short-listed qualified candidates may be held if a selection is not made from the evaluation phase.

1) It is anticipated that approximately three teams will be invited to interview. The selected teams will be notified in writing, and will be required to submit <u>a detailed work scope</u>, work schedule, and labor distribution spreadsheet (estimated hours by task by staff) the day before the interview. It is presently anticipated that the interviews will be conducted within five (5) working days of notification.

- 2) The interviews will last approximately 60 minutes, with the time allocated equally between the team's presentation and a question-and-answer period. The teams should be prepared to discuss at the interview their specific experience providing services like those described in the RFP, project approach, estimated work effort, available resources, and other pertinent areas that would distinguish them. Interviews will be held at a City of Oakland office (exact location to be determined).
- 3) <u>Overall Rating Criteria</u>: The following specific criteria and the points for each criterion, for a total of 100 points, will be used in evaluating and rating the short-listed firms:
 - - Relevant Experience
 - Qualifications.
 - Organization.
 - Approach.
 - Other Factors

Overall Rating Criteria: The following specific criteria and the points for each criterion, for a total of 100 points, will be used in evaluating and rating the short-listed firms The City anticipates the tentative schedule of events to be as follows:

Only those contractors meeting the relevant experience and submit the SOQ will be invited for interviews.

4) The City anticipates the tentative schedule of even	ts to be as follows:
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•	Distribution of RFP	10am, PT August 30, 2024
	Pre-proposal Meeting	1:00 – 2:30 PM, PT, Sept
	10,	
		2024
•	Submission of RFP	2pm, PT, Sept 30, 2024
•	Notification of Interviews	Fall 2024 - ongoing
•	Interviews	Fall 2024 - ongoing
•	Contract Negotiations	Fall 2024 - ongoing
•	Contract Documentation Distribution	Fall 2024 - ongoing
•	Contract Award	Fall 2024 - ongoing

G. CONTRACT NEGOTIATIONS AND AWARD- SUBJECT TO CHANGE

1. The completion of this evaluation process will result in the contractor being numerically ranked. The contractor ranked first will be invited to participate in contract negotiations. Should the City and the first ranked contractor not

be able to reach an agreement as to the contract terms within a reasonable timeframe, the City may terminate the negotiations and begin negotiations with the contractor that is next in line.

- 2. The contract amount (including reimbursements) shall be a not to exceed amount, to be established based upon a mutually agreeable Scope of Services and fee schedule.
- 3. The City will withhold the final 10% of contract amount pending successful completion of work.
- 4. Upon successful completion of the negotiations, the City Administrator will award the contract to the selected contractor.
- 5. A sample City standard professional services agreement is included in the RFP as referenced as Attachment A "Sample Agreement". The selected contractor will be required to enter into a contract that contains similar terms and conditions as in the standard agreement. Please note that the City Attorney's Office is typically not inclined to make any modifications to the standard agreement terms and provisions.
- 6. Upon award the City will issue a Notice to proceed.
- 7. The selected contractor and its other members will be required to maintain auditable records, documents, and papers for inspection by authorized local, state and federal representatives. Therefore, the contractor and its other members may be required to undergo an evaluation to demonstrate that the contractor uses recognized accounting and financial procedures.

END OF RFP

ATTACHMENT A

SAMPLE ONLY

PROFESSIONAL SERVICE AGREEMENT BETWEEN THE CITY OF OAKLAND

AND

NAME OF CONTRACTOR

PARTIES AND EFFECTIVE DATE

This *Professional Service Agreement* ("Agreement" or "Contract") is made by and between the CITY OF OAKLAND, a municipal corporation ("City") and [CONTRACTOR's FULL LEGAL NAME] ("Contractor") (collectively the "Parties") and shall be effective on the date the Agreement is executed by all Parties ("Effective Date").

RECITALS

- A. The City wishes to [insert short description of services required].
- B. This Agreement was competitively procured as required by Oakland Municipal Code Chapter 2.04. [*or state the exception to competitive procurement and how waiver granted*].
- C. The City Council approved this Agreement by Resolution No. [] C.M.S. on [DATE].
- D. The City Administrator has determined that this Agreement is for services that are professional, scientific, or technical and temporary in nature and that this Agreement will not result in the loss of employment or salary by any person having permanent status in the competitive service.
- E. This Agreement is being funded in whole or in part by [State/Federal Funding] from the [Entity] (Funding ID, or exact name of the Award).
- F. Funds are available for this Agreement in [insert Department Name] Fund (insert fund number), [insert Project Number, if applicable].

NOW, THEREFORE, the Parties to this Agreement covenant as follows:

AGREEMENT PROVISIONS

2. <u>Scope of Services</u>

Contractor agrees to perform the services specified in Schedule A, <u>Scope of Services</u> attached to this Agreement and incorporated herein by reference.

Contractor shall designate an individual who shall be responsible for communications with the City for the duration of this Agreement. The Project Manager for the City shall be **Project Manager**.

3. <u>Time of Performance</u>

The time for performance under this Agreement ("Term") shall begin on Month, Day, Year and shall end Month, Day, Year.

4. Compensation and Method of Payment

Contractor shall be paid for the performance of services set forth in **Schedule A** during the Agreement Term in accordance with **Schedule B**, <u>Budget</u>, attached hereto and incorporated herein. Payments shall be based on actual eligible costs incurred by Contractor in the performance of the services under this Agreement but shall be capped so as not to exceed <u>**S[Insert Amount]**</u> ("Capped Amount"). The maximum amount paid for the performance of services under this Agreement shall not exceed the Capped Amount, even if the Contractor's actual costs exceed the Capped Amount. All invoices submitted for payment shall identify the completed deliverable(s) and the billable amount for each deliverable along with any supporting documentation (i.e. receipts). Payments shall be due upon completion and acceptance of the services or as otherwise specified in **Schedule A** or **Schedule B**.

In the aggregate, progress payments will not exceed ninety percent (90%) of the total amount of the Contract, with the balance to be paid upon satisfactory completion of the entire Contract. Progress, or other payments, will be based on services rendered, and will not be made in advance of services rendered.

In computing the amount of any progress payment (this includes any partial payment of the contract price during the progress of the work, even though the work is broken down into clearly identifiable stages, or separate tasks), the City will determine the amount that the Contractor has earned during the period for which payment is being made, on the basis of the Contract terms.

5. Independent Contractor

a. <u>Rights and Responsibilities</u>

It is expressly agreed that in the performance of the services necessary to carry out this Agreement, Contractor shall be, and is an independent contractor, and is not an employee of the City. Contractor has and shall retain the right to exercise full control and supervision of the services, and full control over the employment, direction, compensation, and discharge of all persons assisting Contractor in the performance of Contractor's services hereunder. Contractor shall be solely responsible for all matters relating to the payment of his/her employees, including compliance with social security, withholding, and all other

regulations governing such matters, and shall be solely responsible for Contractor's own acts and those of Contractor's subordinates and employees. Contractor will determine the method, details, and means of performing the services described in **Schedule A**.

b. Contractor's Qualifications

Contractor represents that Contractor has the qualifications and skills necessary to perform the services under this Agreement in a competent and professional manner without the advice or direction of the City. Contractor warrants that the Contractor, and the Contractor's employees and sub-consultants are properly licensed, registered, and/or certified as may be required under any applicable federal, state, and local laws, statutes, ordinances, rules, and regulations relating to Contractor's performance of the Services. All Services provided pursuant to this Agreement shall comply with all applicable laws and regulations. Contractor will promptly advise City of any change in the applicable laws, regulations, or other conditions that may affect City's program. Failure to perform all of the Services required under this Agreement will constitute a material breach of the Agreement and may be cause for the City's termination of the Agreement. Contractor has complete and sole discretion for the manner in which the work under this Agreement is performed. Prior to execution of this Agreement, Contractor shall complete and submit **Schedule M, Part A,** Independent Contractor Questionnaire, which shall be attached hereto and incorporated herein.

c. Payment of Income Taxes

Contractor is responsible for paying, when due, all income taxes, including estimated taxes, incurred as a result of the compensation paid by the City to Contractor for services under this Agreement. On request, Contractor will provide the City with proof of timely payment. Contractor agrees to indemnify the City for any claims, costs, losses, fees, penalties, interest, or damages suffered by the City resulting from Contractor's failure to comply with this provision.

d. Non-Exclusive Relationship

Contractor may perform services for, and contract with, as many additional clients, persons, or companies as Contractor, in Contractor's sole discretion, sees fit.

e. Tools, Materials, and Equipment

Contractor will supply all tools, materials, and equipment required to perform the services under this Agreement.

f. Cooperation of the City

The City agrees to comply with all reasonable requests of Contractor necessary to the performance of Contractor's duties under this Agreement.

g. Extra Work

Contractor will do no extra work under this Agreement without first receiving prior written authorization from the City.

6. Proprietary or Confidential Information of the City

Contractor understands and agrees that, in the performance of the work or services under this Agreement or in contemplation thereof, Contractor may have access to private or confidential information which may be owned or controlled by the City and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to the City. Contractor agrees that all information received from the City, or collected on behalf of the City, including personal identifying data, shall be held in confidence and used only in the performance of the Agreement. Contractor shall exercise the same standard of care to protect such information as a reasonably prudent contractor would use to protect its own proprietary data.

7. Ownership of Results

Any interest of Contractor or its Subcontractors, in specifications, studies, reports, memoranda, computations, drawings, plans, sheets, or other documents prepared by Contractor or its Subcontractors in connection with services to be performed under this Agreement shall be assigned and transmitted to the City. However, Contractor may retain and use copies for reference and as documentation of its experience and capabilities.

8. Copyright

Contractor shall timely execute and provide to the City all necessary documents to assign to the City the copyright to works created pursuant to this Agreement.

9. Audit

Contractor shall maintain (a) a full set of accounting records in accordance with generally accepted accounting principles and procedures for all funds received under this Agreement; and (b) full and complete documentation of performance related matters such as benchmarks and deliverables associated with this Agreement.

Contractor shall (a) permit the City to have access to those records for the purpose of making an audit, examination, or review of financial and performance data pertaining to this Agreement; and (b) maintain such records for a period of four years following the last fiscal year during which the City paid an invoice to Contractor under this Agreement.

In addition to the above, Contractor agrees to comply with all audit, inspection, recordkeeping, and fiscal reporting requirements incorporated by reference.

10. Agents/Brokers

Contractor warrants that Contractor has not employed or retained any subcontractor, agent, company, or person other than bona fide, full-time employees of Contractor working solely for Contractor, to solicit or secure this Agreement, and that Contractor has not paid or agreed to pay any subcontractor, agent, company or persons other than bona fide employees any fee, commission, percentage, gifts or any other consideration, contingent upon or resulting from the award of this Agreement. For breach or violation of this warranty, the City shall have the right to immediately terminate this Agreement without liability or, in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, or gift.

11. Assignment

Contractor shall not assign or otherwise transfer any rights, duties, obligations, or interest in this Agreement or arising hereunder to any person, persons, entity, or entities whatsoever without the prior written consent of the City and any attempt to assign or transfer without such prior written consent shall be void. Consent to any single assignment or transfer shall not constitute City's consent to any further assignment or transfer.

12. Publicity

Any publicity generated by Contractor for the project funded pursuant to this Agreement, during the term of this Agreement or for one year thereafter, will make reference to the contribution of the City of Oakland in making the project possible. The words "City of Oakland" will be explicitly stated in all pieces of publicity, including but not limited to flyers, press releases, posters, brochures, public service announcements, interviews, and newspaper articles.

City staff will be available whenever possible at the request of Contractor to assist Contractor in generating publicity for the project funded pursuant to this Agreement. Contractor further agrees to cooperate with authorized City officials and staff in any City-generated publicity or promotional activities undertaken with respect to this project.

13. Title of Property

Title to all property, real and personal, acquired by the Contractor from City funds shall vest in the name of the City of Oakland and shall be accounted for by means of a formal set of property records. Contractor acknowledges it is responsible for the protection, maintenance, and preservation of all such property held in custody for the City during the term of the Agreement. The Contractor shall, upon expiration of termination of this Agreement, deliver to the City all of said property and documents evidencing title to same. In the case of lost or stolen items or

equipment, the Contractor shall immediately notify the Police Department, obtain a written police report, and notify the City in accordance with "Notice" section of this Agreement.

Contractor shall provide to the City Auditor all property-related audit and other reports required under this Agreement.

Contractor shall obtain the City's approval prior to the disposition or sale of any real or personal property acquired with City funds.

14. Insurance

Unless a written waiver is obtained from the City's Risk Manager, Contractor must acquire and maintain for the duration of this Agreement, the policies of insurance identified in **Schedule Q**, <u>Insurance Requirements</u>, attached hereto and incorporated herein. Contractor must submit proof of insurance, which shall be attached hereto and incorporated herein.

15. Indemnification

- a. Notwithstanding any other provision of this Agreement, Contractor shall indemnify and hold harmless (and at City's request, defend) City, and each of their respective Councilmembers, officers, partners, agents, and employees (each of which persons and organizations are referred to collectively herein as "Indemnitees" or individually as "Indemnitee") from and against any and all liabilities, claims, lawsuits, losses, damages, demands, debts, liens, costs, judgments, obligations, administrative or regulatory fines or penalties, actions or causes of action, and expenses (including reasonable attorneys' fees) caused by or arising out of any:
 - (i) Breach of Contractor's obligations, representations, or warranties under this Agreement;
 - (ii) Act or failure to act in the course of performance by Contractor under this Agreement;
 - (iii) Negligent or willful acts or omissions in the course of performance by Contractor under this Agreement;
 - (iv) The use or occupancy of City property by Contractor, including but not limited to nuisance claims, claims by an invitee regarding claimed tenancy on City property, or claims related to habitability or tenantability of City property;
 - (v) Claim for personal injury (including death) or property damage to the extent based on the strict liability or caused by any negligent act, error, or omission of Contractor;

- (vi) Unauthorized use or disclosure by Contractor of Confidential Information as provided in the Proprietary or Confidential Information of the City section above; and
- (vii) Claim of infringement or alleged violation of any United States patent right or copyright, trade secret, trademark, service mark, or other proprietary or intellectual property rights of any third party.
- b. For purposes of the preceding Subsections (i) through (vi), the term "Contractor" includes Contractor, its officers, directors, employees, representatives, agents, servants, sub-consultants, and subcontractors.
- c. City shall give Contractor prompt written notice of any such claim of loss or damage and shall cooperate with Contractor, in the defense and all related settlement negotiations to the extent that cooperation does not conflict with City's interests.
- d. Notwithstanding the foregoing, City shall have the right if Contractor fails or refuses to defend City with Counsel acceptable to City to engage its own counsel for the purposes of participating in the defense. In addition, City shall have the right to withhold any payments due Contractor in the amount of anticipated defense costs plus additional reasonable amounts as security for Contractor's obligations under this Section 15. In no event shall Contractor agree to the settlement of any claim described herein without the prior written consent of the City.
- e. Contractor acknowledges and agrees that it has an immediate and independent obligation to indemnify and defend Indemnitees from any action or claim which potentially falls within this indemnification provision, which obligation shall arise at the time any action or claim is tendered to Contractor by City and continues at all times thereafter, without regard to any alleged or actual contributory negligence of any Indemnitee. Notwithstanding anything to the contrary contained herein, Contractor's liability under this Agreement shall not apply to any action or claim arising from the sole negligence, active negligence, or willful misconduct of an Indemnitee.
- f. All of Contractor's obligations under this Section are intended to apply to the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782) and shall survive the expiration or sooner termination of this Agreement.
- g. Contractor's indemnification obligations set forth above shall not be limited by the City's insurance requirements contained in Schedule Q hereof, nor by any other provision of this Agreement. City's liability under this Agreement shall be limited to the payment of Contractor in accord to the terms and conditions under this Agreement and shall exclude any liability whatsoever for consequential or indirect damages even if such damages are foreseeable.

16. <u>Right to Offset Claims for Money</u>

All claims for money due or to become due from City shall be subject to deduction or offset by City from any monies due Contractor by reason of any claim or counterclaim arising out of: a) this Agreement; b) any purchase order; or c) any other transaction with Contractor.

17. Prompt Payment Ordinance

Contractor shall comply with the City's Prompt Payment Ordinance, Title 2, Chapter 2.06 of the Oakland Municipal Code. The Ordinance requires that, unless specific exemptions apply, the Contractor and its subcontractors shall pay undisputed invoices of their subcontractors for goods and/or services within twenty (20) business days of receipt of invoices unless the Contractor or its subcontractors notify the City of Oakland Liaison within the Department of Workplace and Employment Standards ("Liaison") in writing within five (5) business days that there is a bona fide dispute between the Contractor or its subcontractor and claimant, in which case the Contractor or its subcontractor may withhold the disputed amount but shall pay the undisputed amount.

Disputed payments are subject to investigation by the Liaison upon the filing of a complaint. Contractor or its subcontractors opposing payment shall provide security in the form of cash, certified check, or bond to cover the disputed amount and penalty during the investigation. If Contractor or its subcontractor fails or refuses to deposit security, the City will withhold an amount sufficient to cover the claim from the next Contractor progress payment. The City, upon a determination that an undisputed invoice or payment is late, will release security deposits or withholds directly to claimants for valid claims.

Contractor and its subcontractors shall not be allowed to retain monies from subcontractor payments for goods as project retention, and are required to release subcontractor project retention in proportion to the subcontractor services rendered, for which payment is due and undisputed, within five (5) business days of payment. Contractor and its subcontractors shall be required to pass on to and pay subcontractors mobilization fees within five (5) business days of being paid such fees by the City. For the purpose of posting on the City's website, Contractor and its subcontractors, are required to file notice with the City of the release of retention and payment of mobilization fees, within five (5) business days of such payment or release; and, Contractor is required to file an affidavit, under penalty of perjury, that Contractor has paid all subcontractors, within five (5) business days following receipt of payment from the City. The affidavit shall provide the names and addresses of all subcontractors and the amount paid to each.

If any amount due by a prime contractor or subcontractor to any claimant for goods and/or services rendered in connection with a purchase contract is not timely paid in accordance with the Prompt Payment ordinance, the prime Contractor or subcontractor shall owe and pay to the claimant interest penalty in the amount of ten percent (10%) of the improperly withheld amount per year for every month that payment is not made, provided the claimant agrees to release the prime contractor or subcontractor from any and all further interest penalty that may be claimed or collected on the amount paid. Claimants that receive interest

payments for late payment under the Prompt Payment Ordinance may not seek further interest penalties on the same late payment in law or equity.

Contractor and its subcontractors shall include the same or similar provisions as those set forth above in this section in any contract with another contractor or subcontractor that delivers goods and/or services pursuant to or in connection with this Agreement.

Prompt Payment invoice and claim forms are available at the following City of Oakland website: <u>https://www.oaklandca.gov/resources/prompt-payment-forms</u> or in the Department of Workplace and Employment Standards, 250 Frank H. Ogawa Plaza, Suite 3341, Oakland, CA 94612. Invoice and claim inquiries should be directed to Vivian Inman, City of Oakland Prompt Payment Liaison, 510-238-6261, or email <u>vinman@oaklandca.gov</u>.

18. Arizona and Arizona-Based Businesses

Contractor confirms that it has read and understood City Resolution No. 82727 C.M.S., which urges City Departments to the extent practicable and in instances where there is no significant additional cost to the City of conflict with the law, to refrain from entering into new or amended contracts to purchase goods or services from any company that is headquartered in Arizona, and urges companies to also boycott the State of Arizona and Arizona-based businesses until Arizona repeals SB 1070. Contractor agrees, in accordance with City Resolution No. 82727 C.M.S., that Contractor is not currently headquartered in the State of Arizona and shall not establish an Arizona business headquarters for the duration of this Agreement or until Arizona rescinds SB 1070.

19. Sanctuary City Contracting and Investment Ordinance

Contractor confirms that it has read and understood Oakland Municipal Code Chapter 2.23, Sanctuary City Contracting and Investment Ordinance, which prohibits the City from contracting with any person or entity that provides the United States Immigration and Customs Enforcement (ICE), the United States Customs and Border Protection (CBP) Customs and Border Protection (CBP), or the Department of Health and Human Services Office of Refugee Resettlement (HHS/ORR) with any "data broker," "extreme vetting," or "detention facilities" services (as defined in Oakland Municipal Code Section 2.23.020) unless the prohibition is waived.

Contractor certifies that it has completed a Declaration of Compliance with the Sanctuary City Contracting and Investment Ordinance (Schedule I) to secure this Agreement, incorporated herein, and agrees, as a material condition of this Agreement, that Contractor and its agents or subcontractors that provide goods or services to or for the City under this Agreement have not been contracted to provide ICE, CBP, or the HHS/ORR with data broker, extreme vetting, or immigration detention facilities services, and that the City, in its sole discretion shall determine such failure.

20. Border Wall Ordinance

Contractor confirms that it has read and understood Oakland Municipal Code Chapter 2.22, Border Wall Ordinance, which prohibits the City from entering into any contractual agreement for the purchase of services, goods, equipment, cyber network or cloud computing, internet, or cloud-based computer technology or services with any "BORDER WALL ENTITY" (as defined by Section 2.22.020 of the Oakland Municipal Code), individual, firm, or financial institution who provides any services, goods, equipment or information technology or cloud-based technology or services, to construction of a wall along any part of the United States-Mexico border unless the prohibition is waived.

Contractor certifies that it has completed a Declaration of Compliance with the Border Wall Ordinance (Schedule W) to secure this Agreement, incorporated herein, and agrees, as a material condition of this Agreement, that Contractor and its agents or subcontractors that provide goods or services to or for the City under this Agreement have not been hired to provide services, goods, products, equipment, or information or cyber technology, construction, architectural, engineering, or any professional services for the construction of the Border Wall, or any Border Wall Work or provide such services for the duration this Agreement. Contractor stipulates that failure to comply with the requirements of Oakland Municipal Code Chapter 2.22 shall constitute a material breach by Contractor of this Agreement. The City in its sole discretion shall determine such failure.

21. Dispute Disclosure

Contractors are required to disclose pending disputes with the City of Oakland. Contractor agrees to disclose and has disclosed, any and all pending disputes with the City in writing to the Project Manager. Failure to disclose pending disputes prior to execution of this Agreement or any subsequent amendment shall be a basis for termination of the Agreement.

22. Events of Default and Remedies

- A. The occurrence of any of the following shall constitute a material default and breach of this Agreement by Contractor:
 - k. Failure to adequately perform the Work set forth in the Scope of Work;
 - 1. The use or expenditure of funds, property, and/or information provided under this Agreement by Contractor in any manner that is not consistent with the purpose of this Agreement or in compliance with the Scope of Work attached hereto;
 - m. Failure of Contractor to comply with any obligations under this Agreement, or to observe or perform any other material provision of this Agreement; or
 - n. Contractor's (a) filing for bankruptcy, dissolution, or reorganization, or failure to obtain a full dismissal of any such involuntary filing brought by another party before the earlier of final relief or 60 days after the filing; (b) making a general assignment for the benefit of creditors; (c) applying for the appointment of a receiver, trustee,

custodian, or liquidator, or failure to obtain a full dismissal of any such involuntary application brought by another party before the earlier of final relief or 60 days after the filing; (d) insolvency; or (e) failure, inability or admission in writing of its inability to pay its debts as they become due.

- B. The City shall give written notice to Contractor of any default by specifying the nature of the event or deficiency giving rise to the default, the action required to cure the deficiency, if an action to cure is possible, and a date, which shall be not less than 30 calendar days from the mailing of the notice, by which such action to cure, if a cure is possible, must be undertaken. Contractor shall not be in default if Contractor cures such default within the specified cure period, or, if such default is not reasonably capable of cure within the specified period, Contractor begins to cure the default within the cure period and thereafter diligently pursues the cure to completion. Following any notice of an event of default, the City may suspend payments under this Agreement pending Contractor's cure of the specified breach. Upon an event of default that has not been cured by Contractor, the City, in its discretion, may take any of the following actions:
 - 1. Terminate this Agreement in whole or in part;
 - 2. Suspend payments under this Agreement;
 - 3. Demand immediate reimbursement of any funds disbursed under this Agreement;
 - 4. Bring an action for equitable relief (i) seeking the specific performance by Contractor of the terms and conditions of the Agreement, and/or (ii) enjoining, abating, or preventing any violation of said terms and conditions, and/or (iii) seeking declaratory relief;
 - 5. Bar Contractor from future funding by the City; and/or
 - 6. Pursue any other remedy available at law or in equity.

23. Termination on Notice

The City may terminate this Agreement immediately with or without cause upon giving thirty (30) calendar days written notice to Contractor. Unless otherwise terminated as provided in this Agreement, this Agreement will terminate on <u>Month, Day, Year.</u>

24. Conflict of Interest

a. <u>Contractor</u>

The following protections against conflict of interest will be upheld:

- i. Contractor certifies that no member of, or delegate to the Congress of the United States shall be permitted to share or take part in this Agreement or in any benefit arising therefrom.
- ii. Contractor certifies that no member, officer, or employee of the City or its designees or agents, and no other public official of the City who exercises any functions or responsibilities with respect to the programs or projects covered by this Agreement, shall have any interest, direct or indirect in this Agreement, or in its proceeds during his/her tenure or for one year thereafter.
- iii. Contractor shall immediately notify the City of any real or possible conflict of interest between work performed for the City and for other clients served by Contractor.
- iv. Contractor warrants and represents, to the best of its present knowledge, that no public official or employee of City who has been involved in the making of this Agreement, or who is a member of a City board or commission which has been involved in the making of this Agreement whether in an advisory or decision-making capacity, has or will receive a direct or indirect financial interest in this Agreement in violation of the rules contained in California Government Code Section 1090 <u>et seq.</u>, pertaining to conflicts of interest in public contracting. Contractor shall exercise due diligence to ensure that no such official will receive such an interest.
- Contractor further warrants and represents, to the best of its present v. knowledge and excepting any written disclosures as to these matters already made by Contractor to City, that (1) no public official of City who has participated in decision-making concerning this Agreement or has used his or her official position to influence decisions regarding this Agreement, has an economic interest in Contractor or this Agreement, and (2) this Agreement will not have a direct or indirect financial effect on said official, the official's spouse or dependent children, or any of the official's economic interests. For purposes of this paragraph, an official is deemed to have an "economic interest" in any (a) for-profit business entity in which the official has a direct or indirect investment worth \$2,000 or more, (b) any real property in which the official has a direct or indirect interest worth \$2,000 or more, (c) any for-profit business entity in which the official is a director, officer, partner, trustee, employee or manager, or (d) any source of income or donors of gifts to the official (including nonprofit entities) if the income or value of the gift totaled more than \$500 the previous year. Contractor agrees to promptly disclose to City in writing any information it may receive concerning any such

potential conflict of interest. Contractor's attention is directed to the conflict of interest rules applicable to governmental decision-making contained in the Political Reform Act (California Government Code Section 87100 <u>et seq</u>.) and its implementing regulations (California Code of Regulations, Title 2, Section 18700 <u>et seq</u>.).

- vi. Contractor understands that in some cases Contractor or persons associated with Contractor may be deemed a "city officer" or "public official" for purposes of the conflict of interest provisions of Government Code Section 1090 and/or the Political Reform Act. Contractor further understands that, as a public officer or official, Contractor or persons associated with Contractor may be disqualified from future City contracts to the extent that Contractor is involved in any aspect of the making of that future contract (including preparing plans and specifications or performing design work or feasibility studies for that contract) through its work under this Agreement.
- vii. Contractor represents and warrants to the best of its present knowledge, that in addition to the State statutes, regulations, local ordinances, municipal code, and Charter provisions referenced in this section, Contractor has read and is aware of the City of Oakland Government Ethics Act (Oakland Municipal Code Chapter 2.25), including, without limitation, the provisions prohibiting Conflicts of Interest and Personal Gain set forth at OMC 2.25.040, and those prohibiting (a) the influencing of contracts with former employers and (b) nepotism, as set forth in OMC 2.25.070. Contractor agrees and acknowledges that Contractor shall adhere to the City of Oakland Government Ethics Act, to the extent Contractor is deemed a Public Servant thereunder.
- viii. Contractor shall incorporate or cause to be incorporated into all subcontracts for work to be performed under this Agreement a provision governing conflict of interest in substantially the same form set forth herein.
- b. <u>No Waiver</u>

Nothing herein is intended to waive any applicable federal, state, or local conflict of interest law or regulation

c. <u>Remedies and Sanctions</u>

In addition to the rights and remedies otherwise available to the City under this Agreement and under federal, state, and local law, Contractor understands and agrees that, if the City reasonably determines that Contractor has failed to make a good faith effort to avoid an improper conflict of interest situation or is responsible for the conflict

situation, the City may (1) suspend payments under this Agreement, (2) terminate this Agreement, and/or (3) require reimbursement by Contractor to the City of any amounts disbursed under this Agreement. In addition, the City may suspend payments or terminate this Agreement whether or not Contractor is responsible for the conflict of interest situation.

25. Non-Discrimination/Equal Employment Practices

Contractor shall not discriminate or permit discrimination against any person or group of persons in any manner prohibited by federal, state, or local laws. During the performance of this Agreement, Contractor agrees as follows:

- a. Contractor and Contractor's subcontractors, if any, shall not discriminate against any employee or applicant for employment because of age, marital status, religion, gender, sexual orientation, gender identity, race, creed, color, national origin, mental or physical disability (including but not limited to Acquired-Immune Deficiency Syndrome (AIDS), and AIDS-Related Complex (ARC)), military or military veteran status, or any other legally-protected class. This nondiscrimination policy shall include, but not be limited to, the following: employment, upgrading, promotion or failure to promote, demotion or transfer, recruitment advertising, layoffs, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.
- b. Contractor and Contractor's Subcontractors shall state in all solicitations or advertisements for employees placed by or on behalf of Contractor that all qualified applicants will receive consideration for employment without regard to age, marital status, religion, gender, sexual orientation, gender identity, race, creed, color, national origin, mental or physical disability (including by not limited to AIDS, and ARC), military or military veteran status, or any other legally-protected class.
- c. Contractor shall make its goods, services, and facilities accessible to people with disabilities and shall comply with the Americans with Disabilities Act and all other applicable federal, state, and local disability rights legislation.
- d. If applicable, Contractor will send to each labor union or representative of workers with whom Contractor has a collective bargaining agreement or contract or understanding, a notice advising the labor union or workers' representative of Contractor's commitments under this nondiscrimination clause and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- e. Contractors are required to disclose any disciplinary or investigatory actions against the Contractor by the Equal Employment Opportunity Commission (EEOC), Department of Fair Employment & Housing (DFEH), or the Office of Federal Contract Compliance Programs (OFCCP). Contractor agrees to disclose and has disclosed, any and all such disciplinary or investigatory actions in writing to the Project Manager. Failure to disclose

such action prior to execution of this Agreement or any subsequent amendment shall be a basis for termination of the Agreement.

26. Reserved

27. Living Wage Ordinance

If the contract amount of this Agreement is equal to or greater than \$25,000, Contractor must comply with the Oakland Living Wage Ordinance, Title 2, Chapter 2.28 of the Oakland Municipal Code. The Living Wage Ordinance requires that nothing less than a prescribed minimum level of compensation (a living wage) be paid to, among others, employees of service contractors (consultants) of the City. **Oakland employers are also subject to the City of Oakland Minimum Wage law (see next section) and must pay employees wages and provide benefits consistent with the Minimum Wage law or Oakland Living Wage Ordinance, whichever are greater.**

If applicable, Contractor certifies that it has submitted a completed Declaration of Compliance with the Living Wage Ordinance (Schedule N) to secure this Agreement, which is incorporated herein, and, unless specific exemptions apply or a waiver is granted, Contractor agrees that it must provide the following to its employees who perform services under or related to this Agreement:

a. Minimum compensation and Health Benefits – Effective July 1st of each year, Contractor shall pay adjusted Living Wage rates, dependent on whether health benefits are included. The current Living Wage Rates for each year can be found at <u>https://www.oaklandca.gov/departments/workplace-employment-standards</u>.

Contractor agrees to pay the rates as upwardly adjusted each year no later than April 1 in proportion to the increase at the immediately preceding December 31 over the year earlier level of the Bay Region Consumer Price Index as published by the Bureau of Labor Statistics, U.S. Department of Labor. Contractor shall provide proof that health benefits are in effect for those employees at the lower living wage rate no later than 30 days after execution of the Contract.

b. Compensated days off – Said employees shall be entitled to twelve compensated days off per year for sick leave, vacation, or personal necessity at the employee's request, and ten uncompensated days off per year for sick leave. Employees shall accrue one compensated day off per month of full time employment. Part-time employees shall accrue compensated days off in increments proportional to that accrued by full-time employees. The employees shall be eligible to use accrued days off after the first six months of employment or consistent with company policy, whichever is sooner. Paid holidays, consistent with established employer policy, may be counted toward the provision of the required 12 compensated days off. Ten uncompensated days off shall be made available, as needed, for personal or immediate family illness after the employee has exhausted his or her accrued compensated days off for that year.

- c. Federal Earned Income Credit To inform employees that he or she may be eligible for Earned Income Credit ("EIC") and shall provide forms to apply for advance EIC payments to eligible employees. There are several websites and other sources available to assist Contractor. Web sites include but are not limited to: <u>http://www.irs.gov</u> for current guidelines as prescribed by the Internal Revenue Service.
- d. Contractor shall provide to all employees written notice of its obligation to eligible employees under the City's Living Wage requirements. Said notice shall be posted prominently in communal areas of the work site(s) and shall include the above-referenced information.
- e. Contractor shall provide all of the above required written notices and forms in English, Spanish or other languages spoken by a significant number of employees within 30 days of each employee's start of work under or related to this Agreement.
- f. Reporting Contractor shall maintain a listing of the name, address, hire date, occupation classification, rate of pay, and benefits for each of its employees. Contractor shall provide a copy of said list to the Department of Workplace and Enforcement Standards, on a quarterly basis, by March 31, June 30, September 30, and December 31 for the applicable compliance period. Failure to provide said list within five days of the due date will result in a penalty of five hundred dollars (\$500.00) for each day that the list remains outstanding (OMC Section 2.28.110.C). Contractor shall maintain employee payroll and related records for a period of three (3) years after expiration of the compliance period.
- g. Contractor shall require subcontractors that provide services under or related to this Agreement to comply with all of the foregoing Living Wage provisions. Contractor shall include the above-referenced provisions in its subcontracts and by signature confirms subcontractor compliance.

28. Minimum Wage Ordinance

Oakland employers are subject to Oakland's Minimum Wage Law, Chapter 5.92 of the Oakland Municipal Code, whereby Oakland employees must be paid the City's current Minimum Wage rate. Employers must notify employees of the annually adjusted rates by each December 15th and prominently display notices at the job site. The law also requires paid sick leave for employees and payment of service charges collected for their services. **This contract is also subject to Oakland's Living Wage Ordinance (see previous section) and must pay employees wages and provide benefits consistent with the City's Living Wage Ordinance or the Minimum Wage Law, whichever are greater. For further information, please visit the following website: https://www.oaklandca.gov/topics/minimum-wage-paid-leave-service-charges**

29. Equal Benefits Ordinance

If the contract amount of this Agreement is equal to or greater than \$25,000, this Agreement is subject to the City's Equal Benefits Ordinance ("EBO"), Title 2, Chapter 2.32 of the Oakland Municipal Code and its implementing regulations, which prohibits the City from contracting with entities that discriminate in the provision of employee benefits between employees with spouses and employees with domestic partners, and/or between domestic partners and spouses of such employees.

The EBO only applies to those portions of a contractor's operations that occur (1) within the City of Oakland; (2) on real property outside the City if the property is owned by the City or if the City has a right to occupy the property, and if the contractor's presence at that location is connected to a contract with the City; and (3) elsewhere in the United States where work related to a city contract is being performed. The requirements of the EBO shall not apply to subcontracts or subcontractors of any contractor.

If applicable, Contractor agrees to comply with the requirements of Oakland Municipal Code, Chapter 2.32, and agrees it has a duty to promptly provide to the City documents and information verifying its compliance.

30. City of Oakland Campaign Contribution Limits

If this Agreement requires Council approval, it is subject to the City's Campaign Reform Act, Title 3, Chapter 3.12 of the Oakland Municipal Code, and its implementing regulations. The City of Oakland Campaign Reform Act prohibits contractors that are doing business or seeking to do business with the City of Oakland from making campaign contributions to Oakland candidates between commencement of negotiations and either 180 days after completion of or termination of, contract negotiations. If applicable, Contractor certifies that it has completed a signed certification form (Schedule O, Acknowledgment of Campaign Contribution Limits) to secure this Agreement, incorporated herein, and agrees to comply with Oakland Municipal Code Chapter 2.32.

31. Nuclear Free Zone Ordinance

Contractor confirms that it has read and understood Ordinance No. 11478 C.M.S., titled "An Ordinance Declaring the City of Oakland a Nuclear Free Zone and Regulating Nuclear Weapons Work and City Contracts with and Investment in Nuclear Weapons Makers," which restricts the City from entering into professional service agreements with nuclear weapons makers unless an exemption applies. Under Ordinance No. 11478 C.M.S., it is the City's policy to minimize the expenditure of City funds on goods and services produced by nuclear weapons makers and Contractor is urged to comply with this policy in making purchases and subcontracts. Contractor agrees to comply with Ordinance No. 11478 C.M.S. in the provision of services under this Agreement and certifies that it is not a nuclear weapons maker.

32. <u>Slavery Era Disclosure</u>

Contractor confirms that it has read and understood the Slavery Era Disclosure Ordinance, Oakland Municipal Code Chapter 9.60, which requires contractors providing (1) insurance

services or (2) financial services to the city of Oakland (including, but not limited to, any bank in which the city deposits public funds and any investment managers), whether subject to a competitive bid or not, and (3) each textile, tobacco, railroad, shipping, rice and/or sugar company doing business with the city, including but not limited to, such businesses with a city franchise, to disclose information related to the legacy of slavery.

If applicable, Contractor certifies that it has completed a signed Slavery Era Disclosure Affidavit (Schedule S) to secure this Agreement, incorporated herein, and agrees to comply with Oakland Municipal Code Chapter 9.60.

33. Political Prohibition

Subject to applicable State and Federal laws, Contractor agree that moneys paid pursuant to this Agreement shall not be used for political purposes, sponsoring or conducting candidate's meetings, engaging in voter registration activity, nor for publicity or propaganda purposes designed to support or defeat legislation pending before federal, state or local government.

34. Religious Prohibition

Contractor understands and agrees that there shall be no religious worship, instruction, or proselytization as part of, or in connection with the performance of this Agreement.

35. Business Tax Certificate

Contractor shall obtain and provide proof of a valid City business tax certificate. Said certificate must remain valid for the duration of this Agreement.

36. Compliance with State and/or Federal Standards

The funding for this Agreement is provided in full or in part by a Federal or State Grant to the City. As part of the terms of receiving the funds, the City is required to incorporate some of the terms into this Agreement and include certain reporting requirements. The incorporated terms and requirements are stated in **Exhibit 1 to the Scope of Work**, "State/Federal Funding Terms."

By executing this Agreement, Contractor certifies that Contractor is not suspended, debarred, or otherwise excluded from participation in federal assistance programs. Contractor has submitted **Schedule Z**, Certification of Debarment and Suspension, attached hereto and incorporated by reference herein.

37. Abandonment/Termination of Project

The City may abandon or indefinitely postpone the project or the services for any or all of the projects under this Agreement at any time. In such event, the City shall give Contractor thirty (30) days written notice of such abandonment and termination of Agreement. In the

event of abandonment prior to completion of the final drawings, if applicable, and cost estimates, Contractor shall have the right to expend a reasonable amount of additional time to assemble work in progress for the purpose of proper filing and closing the job. Prior to expending said time, Contractor shall present to the City a complete report of said proposed job closure and its costs, and the City may approve or reject all or any part of said proposed costs. Such additional time shall not exceed ten percent (10%) of the total time expended to the date of notice of termination. All charges thus incurred and approved by the City, together with any other charges outstanding at the time of termination, shall be payable by the City within thirty (30) days following submission of a final statement by Contractor.

Should the project or any portion thereof be abandoned, the City shall pay the Contractor for all services performed up to the effective date of termination due to abandonment in accordance with the terms of this Agreement.

38. Validity of Contracts

This Agreement shall not be binding or of any force or effect until it is: a) approved by resolution of the City Council if required by the Oakland City Charter, Oakland Municipal Code Title 2.04 or Oakland City Council Rules of Procedure, b) approved as to form and legality by the Office of the City Attorney, and c) signed by the City Administrator or his or her authorized designee.

39. Governing Law

This Agreement shall be governed by the laws of the State of California.

40. Notice

If either party shall desire or be required to give disclosures or notice to the other, such disclosure or notice shall be given in writing, via facsimile, email, or other electronic transmission and concurrently by prepaid U.S. certified or registered mail, addressed to the recipient as follows:

(City of Oakland)	Name of Contractor
Agency/Department	Address
Address	City State Zip
Oakland, CA	Attn: Project Manager
Attn: Project Manager	

Any party to this Agreement may change the name or address of representatives for the purpose of this Notice Section by providing written notice to all other parties ten (10) business days before the change is deemed effective.

41. Entire Agreement of the Parties

This Agreement supersedes any and all agreements, either oral or written, between the parties with respect to the rendering of services by Contractor for the City and contains all of the representations, covenants, and agreements between the parties with respect to the rendering of those services. Each party to this Agreement acknowledges that no representations, inducements, promises, or agreements, orally or otherwise, have been made by any party, or anyone acting on behalf of any parties, which are not contained in this Agreement, and that no other agreement, statement or promise not contained in this Agreement will be valid or binding.

42. Modification

Any modification of this Agreement will be effective only if it is in writing and signed by all parties to this Agreement.

43. <u>Severability/Partial Invalidity</u>

If any term or provision of this Agreement, or the application of any term or provision of this Agreement to a particular situation, shall be finally found to be void, invalid, illegal, or unenforceable by a court of competent jurisdiction, then notwithstanding such determination, such term or provision shall remain in force and effect to the extent allowed by such ruling and all other terms and provisions of this Agreement or the application of this Agreement to other situation shall remain in force and effect.

Notwithstanding the foregoing, if any material term or provision of this Agreement or the application of such material term or condition to a particular situation is finally found to be void, invalid, illegal, or unenforceable by a court of competent jurisdiction, then the Parties hereto agree to work in good faith and fully cooperate with each other to amend this Agreement to carry out its intent.

44. Time of the Essence

Contractor agrees that time is of the essence in the performance of this Agreement.

45. Commencement, Completion, and Closeout

It shall be the responsibility of the Contractor to coordinate and schedule the work to be performed so that commencement and completion take place in accordance with the provisions of this Agreement.

Any time extension granted to Contractor to enable Contractor to complete the work must be in writing by the City and shall not constitute a waiver of rights the City may have under this Agreement.

If Contractor fails to complete the work by the scheduled date or by an extended date, the City shall be released from all of its obligations under this Agreement.

Within thirty (30) days of completion of the performance under this Agreement, Contractor shall make a determination of any and all final costs due under this Agreement and shall submit a requisition to the City for such final and complete payment (including without limitations any and all claims relating to or arising from this Agreement). Contractor's failure to timely submit a complete and accurate requisition for final payment shall relieve the City of any further obligations under this Agreement, including, without limitation, any obligation for payment of work performed or payment of claims by Contractor.

46. Counterpart Signatures

This Contract may be executed in any number of counterparts, each of which so executed shall be deemed to be an original and such counterparts shall together constitute but one and the same Contract. The parties shall be entitled to electronically sign and transmit this Contract (whether by facsimile, PDF, or other email transmission), which signature shall be binding on the signing party or the party on whose behalf the document has been signed. Any party providing an electronic signature agrees to promptly execute and deliver to the other parties an original signed Contract upon request.

47. Authority

The persons signing below represent and warrant that they have authority to bind their respective party, and all necessary approvals to sign on behalf of their respective party have been obtained.

48. Inconsistency

If there is any inconsistency between this Agreement and the attachments/exhibits hereto, the text of this main Agreement shall prevail.

[SIGNATURES ON NEXT PAGE]

City: CITY OF OAKLAND, a California municipal corpora		Contractor: INSERT NAME IN ALI a California corporation CORPORATE STATUS	[CONFIRM
City Administrator's Office	(Date)		
		Signature	(Date)
Department Head	(Date)		
		Print Name	
Approved for form and legality	y:		
		Title	
City Attorney's Office	(Date)	City Resolution No Account No.:	
		Business License No. : _	

END OF PROFESSIONAL SERVICES AGREEMENT SAMPLE

ATTACHMENT B1

(Stand-Alone Schedules Required with Proposal)

SCHEDULE E (PROJECT CONSULTANT TEAM LISTING)

AND

SCHEDULE I (SANCTUARY CITY CONTRACTING AND INVESTMENT ORDIANCE)

AND

SCHEDULE O (CAMPAIGN CONTRIBUTION LIMITS)

AND

SCHEDULE W (BORDER WALL PROHIBITION FORM)

An interactive version of the forms can be downloaded from Contract s and Compliance website <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u> or request for a copy from Paula Peav at <u>ppeav@oaklandca.gov</u> or phone number 510-238-3190

		SCHEDULE E										
To be completed by prime	consultants only.	PROJECT CONSULTANT T	EAM LISTING				4	-		28/ -		
Note:			Date:			_		10.52	Y	0.01	~	
percentages of the project	work. No other sub-consultants	rdless of the tier and their respective s, other than those listed below shall be Provide all information listed and check	Prime Consultant:				Gun	A K 119. fba	Chur /	150 J	D	
the appropriate boxes. Firn Local/Small Local Business professional services), son	ns must be certified with the City s Enterprise credits. At the time ne L/SLBE-participation must be	y of Oakland in order to receive of submittal of Schedule E (for proposed in order to satisfy the the	Project Name:									
requirement at the time of s accepted.	submission. If zero participation	is presented, the proposal will not be	Signed:									
Type of Work	Company Name	Address and City	Phone Number	% of Project Work	Dollar Amount	LBE	SLBE	VSLBE	SBA-LBE	LPG-LBE	* Ethnicity	** Gender
		Address and only	Number	TOIR	Amount		S	>	S		*	1
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Attach additional page(s) if necessary. Contractors are required to identify the ethnicity and gender of all listed firms majority owner. This information will be used for tracking purposes only. * (AA=African American) (AI=Asian Indian) (AP=Asian Pacific) (C=Caucasian) (H=Hispanic) (NA=Native American) (O=Other) (NL=Not Listed) ** (M = Male) (F = Female)

Revised 1/21/2022 DM

CITY OF OAKLAND	Schedule I "Sanctuary City Contracting and Inve	stment Ordinance"
•	on and Customs Enforcement (ICE), Customs and Border Pro and Human Services Office of Refugee Resettlement (HHS/C	< <i>/</i> .
	ubmitted with all proposals or bids by all contractors/Co sultants, and all vendors seeking to do business with the	
Compli	ance must be established prior to full contract execution	n.
I, (name)	, the undersigned,	of

(Business Entity) - hereinafter referred to as Business Entity and duly authorized to attest on behalf of the business Entity), declare the following:

- 1. Neither this Business Entity nor any of its subsidiaries, affiliates or agents are under contract with the United States Immigration and Customs Enforcement (ICE), Customs and Border Protection (CBP), or the Department of Health and Human Services Office of Refugee Resettlement (HHS/ORR) to provide services or goods for data collection or immigration detention facilities. The term "data collection" includes the collection of information (such as personal information about consumers) for another purpose from that which it is ultimately used, datamining in large data bases for trends and information, threat-modeling to identify probable attackers to computer systems, predictive risk analysis to predict future events, and similar services. Additionally, this business entity does not anticipate a contract with ICE, CBP, or HHS/ORR for such work for the duration of a contract/contracts with the City of Oakland.
- 2. The appropriate individuals of authority are cognizant of <u>their responsibility to notify</u> the City's Project Manager and invoice reviewer or the City Administrator's Office, Chief Privacy Officer if any of this Business Entity's subsidiaries, affiliates, or agents are under contract with ICE, CBP, or HHS/ORR for the purposes listed above.
- 3. To maintain compliance, upon review and approval of invoices, the contractors/vendors hereby agree to submit a declaration on company stationery attached to each invoice that the company remains in compliance with the ICE, CBP, and HHS/ORR Prohibition and will not seek or secure a contract with ICE, CBP, or HHS/ORR.
- 4. Upon close out or completion of deliverables and prior to issuance of final payment (while honoring the Prompt Payment Ordinance), this business entity agrees to submit a statement attached to the final invoice, under penalty of perjury, declaring full compliance with the ICE, CBP, and HHS/ORR Prohibition. I understand that an invoice is not declared fully complete and accepted unless and until the declaration of compliance is accepted.
- 5. If this business entity fails to disclose a contract with ICE, CBP, or HSS/ORR to provide services for data collection or immigration detention facilities, the relevant persons may be guilty of a misdemeanor and up to a \$1,000 fine. Additionally, the City Administrator may to the extent permissible by law, remedy any such violations and may use all legal measures available to rescind, terminate, or void contracts in violation.

6. I declare under penalty of perjury that the above will not, have not, and do not plan to contract with ICE, CBP, or HHS/ORR to provide services or goods for data collection or immigration detention facilities.



PLEASE COMPLETE AND SIGN

I declare that I understand Ordinance #13540 C.MS. Based on my understanding the above is true and correct to the best of my knowledge.

or

I declare that I understand Ordinance # 13540 C.MS. Based on my understanding all or a portion of the above is not true and correct to the best of my knowledge.

(Printed Name and Signature of Business Owner) (Date)

(Name of Business Entity) (Street Address, City, State, and Zip Code)

(Name of Parent Company) (If applicable)

Contacts: Office Phone:_____Cell Phone:_____ email:

For Office Use Only:				
Approved/Denied/Waived				
(signed) Authorized Representative	Date			
SCHEDULE I DB/DM 2019				

CITY OF OAKLAND

SCHEDULE O

CONTRACTOR ACKNOWLEDGEMENT OF CITY OF OAKLAND CAMPAIGN CONTRIBUTION LIMITS

To be completed by City Representative prior t	o distribution to Contractor				
City Representative	Phone	Project Spec No			
DepartmentCor	tract/Proposal Name				
This is an Original Revised fo changed data.	orm (check one). If Original,	, complete all that applies. If R	evised, co	omplete Contrac	tor name and any
Contractor Name		Phone			
Street Address		City	, State	Zip	
Type of Submission (check one) Biol	ProposalQualification/	Amendment			
Majority Owner (if any). A majority owner is a	person or entity who owns more	than 50% of the contracting firm or ent	iity.		
Individual or Business Name		Phone			
Street Address		City	, State	Zip	
the City of Oakland and th criminal penalties. I have read Oakland Munie Reform Act and certify tha I understand that the contr Municipal Code Chapter 3	e Oakland Redevelopment. sipal Code Chapter 3.12, ind t I/we have not knowingly, n ibution restrictions also app .12.080.	contributions and prohibits contr Agency during specified time p cluding section 3.12.140, the oc or will I /we make contributions ly to entities/persons affiliated to contribution-restricted time period, I wi / Date	eriods. Vi ontractor p during th with the ci	iolators are subj provisions of the e period specific ontractor as indi	ect to civil and Oakland Campaign ed in the Act. cated in the Oakland
Print Name of Signer		Position			
To be Completed by City of Oakland after com	pletion of the form				
Date Received by City:// Date Entered on Contractor Database:/_	Ву			-	

SCHEDULE W BORDER WALL PROHIBITION

(This form is to be completed by Contractors and their sub-contractors, and all Vendors seeking to do business with the City of Oakland)

I,	, the undersigned, a
(Name)	
	of
(Title)	(Business Entity)
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(hereinafter referred to as Business Entity am duly authorized to attest on behalf of the business Entity)

- I. Neither this Business Entity nor any of its subsidiaries, affiliates or agents are under contract with any branch of the federal government to plan, design, build, support, repair and/or maintain any part of the border wall nor do we anticipate entering or competing for such work for the duration of a contract or contracts with the City of Oakland.
- II. The appropriate individuals of authority are cognizant of their <u>responsibility to notify</u> the city contact person/Project Manager, invoice reviewer or the Department of Workplace and Employment Standards (DWES), if any of the identified above decide to compete, plan, design, build, support, repair and/or maintain any part of work or servicing the border wall.
- III. To maintain compliance, upon review and approval of invoices, the contractors/vendors hereby agree to submit attached to each invoice, a declaration on company stationery that the company remains in compliance with the Border Wall Prohibition and will not seek or secure a contract related to all aspects of the Border Wall
- IV. Upon close out or completion of deliverables and prior to issuance of final payment (while honoring the Prompt Payment Ordinance) I agree to submit a statement attached to the <u>final invoice</u>, under penalty of perjury, declaring full compliance with the Border Wall Prohibition. I understand that an invoice is not declared fully complete and accepted unless and until the declaration of compliance is accepted.
- V. I declare under penalty of perjury that the above will not, have not and do not plan to participate in the building, servicing, maintenance of the operations of the so called "Border Wall".

I declare that I understand Ordinance #13459 C.MS. Based on my understanding the above is true and correct to the best of my knowledge.

■ I declare that I understand Ordinance #13459 C.MS. Based on my understanding all or a portion of the above is not true and correct to the best of my knowledge.

Name and Signature of Business Owner)

(Date)

_(Printed

(Name of Business Entity)

(Street Address City, State and Zip Code)

(Name of Parent Company)

ATTACHMENT B2

(Stand-Alone Schedules Required Prior to Contract Award)

SCHEDULE E-2 (OAKLAND WORKFORCE VERIFICATION)

AND

SCHEDULE Q (INSURANCE REQUIREMENTS)

An interactive version of the forms can be downloaded from Contract s and Compliance website <u>https://cao-94612.s3.amazonaws.com/documents/Schedule-Q-Standard-Contracts-rev-091219.pdf</u> or request for a copy from Paula Peav at <u>ppeav@oaklandca.gov</u> or phone number 510-238-3190

OAKLAND	Oakland Workforce Ve	erificati	ion			Schedu	le E
Date Submitted:	Consultant/Service Provider:			Phone:		_	
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ADDITIONAL SHEET]						and
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Schedule Q INSURANCE REQUIREMENTS (Revised 09/12/2019)

(Revised 09/12/2019)

a. <u>General Liability, Automobile, Workers' Compensation and Professional Liability</u>

Contractor shall procure, prior to commencement of service, and keep in force for the term of this contract, at Contractor's own cost and expense, the following policies of insurance or certificates or binders as necessary to represent that coverage as specified below is in place with companies doing business in California and acceptable to the City. If requested, Contractor shall provide the City with copies of all insurance policies. The insurance shall at a minimuminclude:

i. **Commercial General Liability insurance** shall cover bodily injury, property damage and personal injury liability for premises operations, independent contractors, products-completed operations personal & advertising injury and contractual liability. Coverage shall be on an occurrence basis and at least as broad as Insurance Services Office Commercial General Liability coverage (occurrence Form CG 00 01)

Limits of liability: Contractor shall maintain commercial general liability (CGL) and, if necessary, commercial umbrella insurance with a limit of not less than \$2,000,000 each occurrence. If such CGL insurance contains a general aggregate limit, either the general aggregate limit shall apply separately to this project/location or the general aggregate limit shall be twice the required occurrence limit.

- ii. **Automobile Liability Insurance.** Contractor shall maintain automobile liability insurance for bodily injury and property damage liability with a limit of not less than \$1,000,000 each accident. Such insurance shall cover liability arising out of any auto (including owned, hired, and non-owned autos). Coverage shall be at least as broad as Insurance Services Office Form Number CA 0001.
- iii. Workers' Compensation insurance as required by the laws of the State of California, with statutory limits, and statutory coverage may include Employers' Liability coverage, with limits not less than \$1,000,000 each accident, \$1,000,000 policy limit bodily injury by disease, and \$1,000,000 each employee bodily injury by disease. The Contractor certifies thathe/she is aware of the provisions of section 3700 of the California Labor Code, which requires every employer to provide Workers' Compensation coverage, or to undertake self-insurance in accordance with the provisions of that Code. The Contractor shall comply with the provisions of section 3700 of the California Labor Code before commencing performance of the work under this Agreement and thereafter as required by that code.

- iv. **Professional Liability/ Errors and Omissions insurance, if determined to be required by HRM/RMD,** appropriate to the contractor's profession with limits not less than <u>\$</u>_____each claim and <u>\$</u>_____aggregate. If the professional liability/errors and omissions insurance is written on a claimsmade form:
 - a. The retroactive date must be shown and must be before the date of the contract or the beginning of work.
 - b. Insurance must be maintained, and evidence of insurance must be provided for at least three (3) years after completion of the contract work.
 - c. If coverage is cancelled or non-renewed and not replaced with another claims made policy form with a retroactive date prior to the contract effective date, the contractor must purchase extended period coverage for a minimum of three (3) years after completion of work.
 - v. **Contractor's Pollution Liability Insurance:** If the Contractor is engaged in: environmental remediation, emergency response, hazmat cleanup or pickup, liquid waste remediation, tank and pump cleaning, repair or installation, fire or water restoration or fuel storage dispensing, then for small jobs (projects less than \$500,000), the Contractor must maintain Contractor's Pollution Liability Insurance of at least \$500,000 for each occurrence and in the aggregate. If the Contractor is engaged in environmental sampling or underground testing, then Contractor must also maintain Errors and Omissions (Professional Liability) of \$500,000 per occurrence and in the aggregate.
 - vi. Sexual/Abuse insurance. If Contractor will have contact with persons under the age of 18 years, or provides services to persons with Alzheimer's or Dementia, or provides Case Management services, or provides Housing services to vulnerable groups (i.e., homeless persons) Contractor shall maintain sexual/molestation/abuse insurance with a limit of not less than \$1,000,000 each occurrence and \$1,000,000 in the aggregate. Insurance must be maintained, and evidence of insurance must be provided for at least three (3) years after completion of the contract work.
 - Vii. Technology Professional Liability (Errors and Omissions) OR Cyber Liability Insurance, if determined to be required by HRM/RMD, appropriate to the Consultant's profession, with limits not less than \$2,000,000 per occurrence or claim, \$2,000,000 aggregate. Coverage shall be sufficiently broad to respond to the duties and obligations as is undertaken by Consultant in this agreement and shall include, but not be limited to, claims involving infringement of intellectual property, including but not limited to infringement of copyright, trademark, trade dress, invasion of privacy violations, information theft, damage to or destruction of electronic information, release of private information, alteration of electronic information, extortion and network security. The policy shall provide coverage for breach response costs as well as regulatory fines and

penalties as well as credit monitoring expenses with limits sufficient to respond to these obligations.

b. <u>Terms Conditions and Endorsements</u>

The aforementioned insurance shall be endorsed and have all the following conditions:

- Insured Status (Additional Insured): Contractor shall provide insured status naming the City of Oakland, its Councilmembers, directors, officers, agents, employees and volunteers as insureds under the Commercial General Liability policy. General Liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 (11/85) or both CG 20 10 and CG 20 37 forms, if later revisions used). If Contractor submits the ACORD Insurance Certificate, the insured status endorsement must be set forth on an ISO form CG 20 10 (or equivalent). A STATEMENT OF ADDITIONAL INSURED STATUS ON THE ACORD INSURANCE CERTIFICATE FORM IS INSUFFICIENT AND WILL BE REJECTED AS PROOF OF MEETING THIS REQUIREMENT; and
- Coverage afforded on behalf of the City, Councilmembers, directors, officers, agents, employees and volunteers shall be primary insurance. Any other insurance available to the City Councilmembers, directors, officers, agents, employees and volunteers under any other policies shall be excess insurance (over the insurance required by this Agreement); and
- iii. Cancellation Notice: Each insurance policy required by this clause shall provide that coverage shall not be canceled, except with notice to the Entity; and
- iv. The Workers' Compensation policy shall be endorsed with a waiver of subrogation in favor of the City for all work performed by the contractor, its employees, agents and subcontractors; and
- v. Certificate holder is to be the same person and address as indicated in the "Notices" section of this Agreement; and
- vi. Insurer shall carry insurance from admitted companies with an A.M. Best Rating of A VII, or better.

c. <u>Replacement of Coverage</u>

In the case of the breach of any of the insurance provisions of this Agreement, the City may, at the City's option, take out and maintain at the expense of Contractor, such insurance in the name of Contractor as is required pursuant to this Agreement, and may deduct the cost of taking out and maintaining such insurance from any sums which may be found or become due to Contractor under this Agreement.

d. <u>Insurance Interpretation</u>

All endorsements, certificates, forms, coverage and limits of liability referred to herein shall have the meaning given such terms by the Insurance Services Office as of the date of this Agreement.

e. <u>Proof of Insurance</u>

Contractor will be required to provide proof of all insurance required for the work prior to execution of the contract, including copies of Contractor's insurance policies if, and when, requested. Failure to provide the insurance proof requested or failure to do so in a timely manner shall constitute ground for rescission of the contract award.

f. <u>Subcontractors</u>

Should the Contractor subcontract out the work required under this agreement, they shall include all subcontractors as insureds under its policies or shall maintain separate certificates and endorsements for each subcontractor. As an alternative, the Contractor may require all subcontractors to provide at their own expense evidence of all the required coverages listed in this Schedule. If this option is exercised, both the City of Oakland and the Contractor shall be named as additional insured under the subcontractor's General Liability policy. All coverages for subcontractors shall be subject to all the requirements stated herein. The City reserves the right to perform an insurance audit during the project to verify compliance with requirements.

g. Deductibles and Self-Insured Retentions

Any deductible or self-insured retention must be declared to and approved by the City. At the option of the City, either: the insurer shall reduce or eliminate such deductible or self-insured retentions as respects the City, its Councilmembers, directors, officers, agents, employees and volunteers; or the Contractor shall provide a financial guarantee satisfactory to the City guaranteeing payment of losses and related investigations, claim administration and defense expenses.

h. <u>Waiver of Subrogation</u>

Contractor waives all rights against the City of Oakland and its Councilmembers, officers, directors, employees and volunteers for recovery of damages to the extent these damages are covered by the forms of insurance coverage required above.

i. Evaluation of Adequacy of Coverage

The City of Oakland maintains the right to modify, delete, alter or change these requirements, with reasonable notice, upon not less than ninety (90) days prior written notice.

j. <u>Higher Limits of Insurance</u>

If the contractor maintains higher limits than the minimums shown above, The City shall be entitled to coverage for the higher limits maintained by the contractor.

ATTACHMENT C: CITY SCHEDULES AND POLICIES

PLEASE READ CAREFULLY: It is the prospective primary proposer's/bidder's/grantee's responsibility to review all listed City Schedules, Ordinances and Resolutions.

If you have questions regarding any of the schedules, Ordinances or Resolutions, please contact the assigned Contract Compliance Officer listed on the Request for Proposals (RFP), Notice Inviting Bids (NIB), Notice To Bidders (NTB), Request for Qualifications (RFP) and Grant announcements.

By submitting a response to this RFP/Q, NIB, NTB, or Grant opportunities, to the City of Oakland the prospective primary participant's authorized representative hereby certifies that your firm or not-for profit entity has reviewed all listed City Schedules, Ordinances and Resolutions and has responded appropriately.

Note: additional details are available on our website as follows: https://www.oaklandca.gov/documents/contracting-policies-and-legislation

1. <u>Arizona and Arizona-Based Businesses</u> - Applies to all agreements and is part of the "Contract".

Contractor confirms that it has read and understood City Resolution No. 82727 C.M.S., which urges City Departments to the extent practicable and in instances where there is no significant additional cost to the City of conflict with the law, to refrain from entering into new or amended contracts to purchase goods or services from any company that is headquartered in Arizona, and urges companies to also boycott the State of Arizona and Arizona-based businesses until Arizona repeals SB 1070. Contractor agrees, in accordance with City Resolution No. 82727 C.M.S., that Contractor is not currently headquartered in the State of Arizona and shall not establish an Arizona business headquarters for the duration of this Agreement or until Arizona rescinds SB 1070.

2. <u>Declaration of Compliance with the Americans with Disabilities Act</u> – Applies to all agreements and is part of the "Contract".

- i. This Agreement is subject to the Americans with Disabilities Act (ADA). It requires that private organizations serving the public make their goods, services and facilities accessible to people with disabilities. Furthermore, the City of Oakland requires that all its Contractors comply with their ADA obligations and verify such compliance by signing this Declaration of Compliance.
 - (1) You certify that you will comply with the Americans with Disabilities Act by:
 - (2) Adopting policies, practices and procedures that ensure non-discrimination and equal access to Contractor's goods, services and facilities for people

with disabilities;

- (3) Providing goods, services and facilities to individuals with disabilities in an integrated setting, except when separate programs are required to ensure equal access;
- (4) Making reasonable modifications in programs, activities and services when necessary to ensure equal access to individuals with disabilities, unless fundamental alteration the Contractor's program would result;
- (5) Removing architectural barriers in existing facilities or providing alternative means of delivering goods and services when removal of barriers is cost-prohibitive;
- (6) Furnishing auxiliary aids to ensure equally effective communication with persons with disabilities;
- (7) If contractor provides transportation to the public, by providing equivalent accessible transportation to people with disabilities.
- Prior to execution of this agreement and/or upon request, the contractor shall complete the <u>Schedule C-1</u> form and submit to the City. The form can be found on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u> (see Combined Schedules)

For Declaration of ADA compliance for facility and other special events agreements please reference C-2 on the above web site.

3. <u>Schedule D</u> – (Ownership, Ethnicity, and Gender Questionnaire) – Applies to all agreements and is part of the "Combined Contract Schedules". *Please be advised that ethnicity and gender information will be used for reporting and tracking purposes ONLY.*

This agreement is subject to the reporting of Ownership, Ethnicity and Gender questionnaire form. Prior to execution of this agreement and/or upon request, the contractor shall complete the <u>Schedule D</u> form and submit to the City. The form can be found on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u> (see Combined Schedules)

4. <u>Schedule E</u> – (Project Consultant or Grant Team). Applies to Non-Construction agreements and is a "stand alone Schedule²" and must be submitted with the proposal.

- i. This Agreement is subject to the attached hereto and incorporated herein as <u>Schedule E</u> form, this form is required to be submitted with the proposal.
- ii. The form can also be found on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u>.
- This form is use for establishing level of certified local Oakland for profit and not for profit participation and calculating compliance with council's 50% local participation policy.

² Stand Alone Schedule is not part of the "Combined Schedule".

- iv. In response to this RFP/Q or grant opportunity, the prime shall be a qualified for profit or not-for profit entity.
- v. Sub-Consultants (if used) or sub-grantees must be listed to include: addresses, telephone numbers and areas of expertise/trace category of each. Briefly describe the project responsibility of each team member. Identify if contractors are certified MBE, WBE, Local Business Enterprises (LBE) and Small Local Business Enterprise (SLBE), Locally Produced Goods or Very Small Local Business Enterprise. Additionally, for LBEs/SLBEs, please submit a copy of current business license local business certificate and date established in Oakland.

5. <u>Schedule E-2</u> (Oakland Workforce Verification Form) – Referenced in Attachment B. Applies to Non-Construction agreements and is a "stand alone Schedule", and must be submitted with proposal if seeking extra preference points for an Oakland Workforce.

- i. All prime consultants, contractors, or grantees seeking <u>additional preference</u> points for employing an Oakland workforce must complete this form and submit with "required attachments" to Department of Workplace and Employment Standards (DWES) no later than four (4) days after the proposal due date. For questions, please contact the assigned Compliance Officer named in the RFP/Q, NIB, and competitive grant opportunity.
- ii. The Schedule E-2 form can be found on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u>

6. <u>Schedule F</u> – (Exit Report and Affidavit) – Applies to all agreements and is a "stand alone Schedule".

- i. This Agreement is subject to the <u>Exit</u> Reporting and Affidavit form. The Schedule F form can be found on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u>.
- ii. The Prime Contractor/Consultant/Grantee must complete this form as part of the close-out process. Each LBE/SLBE sub-contractor/sub-consultant and sub-grantee (including lower tier LBE/SLBE sub-contractors/sub-consultants, sub-grantees, suppliers and truckers). The Exit Report and Affidavit must be submitted to Department of Workplace and Employment Standards (DWES) with the final progress payment application.

7. <u>Schedule G</u> – (<u>Progress Payment Form</u>) – Applies to all agreements and is a "stand alone Schedule".

This Agreement is subject to the reporting of subcontractor progress payments monthly. The Schedule G form can be found on our website at https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules.

8. <u>Pending Dispute Disclosure Policy</u> – Applies to all agreements and is part of the "Contract".

Contractors are required to disclose pending disputes with the City of Oakland. Contractor agrees to disclose and has disclosed, any and all pending disputes with the City in writing to the Project Manager. Failure to disclose pending disputes prior to execution of this Agreement or any subsequent amendment shall be a basis for termination of the Agreement.

9. <u>Schedule M</u> – (<u>Independent Contractor Questionnaire</u>, <u>Part A</u>). – Applies to all agreements and is part of the "Combined Contract Schedules".

Prior to execution of this agreement and/or upon request, the contractor shall complete the <u>Schedule M</u> form and submit to the City. The form can be found on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u> (see *Combined Schedules*)

10. <u>Schedule N</u> - (<u>LWO - Living Wage Ordinance</u>) – Applies to Non-Construction agreements and is a "stand alone Schedule".

- i. This Agreement is subject to the Oakland Living Wage Ordinance. The full details of the Living Wage Ordinance can be found on the City's website (<u>https://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.28LIWAO R.html#TOPTITLE</u>).
- ii. Prior to execution of this agreement and/or upon request the contractor shall complete the <u>Schedule N</u> form and submit to the City. The form can be found on our website at

11. <u>Schedule N-1</u> - (<u>EBO - Equal Benefits Ordinance</u>) – Applies to Non-Construction agreements over \$25,000 and is a "stand alone Schedule".

- i. This Agreement is subject to the Equal Benefits Ordinance of Chapter 2.32 of the Oakland Municipal Code and its implementing regulations. The full details of the Equal Benefits Ordinance can be found on the City website at http://library.municode.com/HTML/16308/level2/TIT2ADPE_CH2.32EQBEOR.html#TOPTITLE.
- ii. Prior to execution of this agreement and/or upon request the contractor shall complete the <u>Schedule N-1</u> form and submit to the City. The form can be found on our website at
- 12. <u>Schedule O</u> (City of Oakland Campaign Contribution Limits Form) Applies to all agreements and is a "stand alone Schedule" and must be submitted with the proposal.
 - i. This Agreement is subject to the City of Oakland Campaign Reform Act of Chapter 3.12 of the Oakland Municipal Code and its implementing regulations if

it requires Council approval. The City of Oakland Campaign Reform Act prohibits Contractors that are doing business or seeking to do business with the City of Oakland from making campaign contributions to Oakland candidates between commencement of negotiations and either 180 days after completion of, or termination of, contract negotiations. If this Agreement requires Council approval, <u>Contractor must sign and date an Acknowledgment of Campaign</u> <u>Contribution Limits Form attached hereto and incorporated herein as **Schedule O**.</u>

ii. The form is also available on our website at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules</u>

13. <u>Nuclear Free Zone Ordinance</u> - Applies to all agreements and is part of the "Contract".

Contractor confirms that it has read and understood Ordinance No. 11478 C.M.S., titled "An Ordinance Declaring the City of Oakland a Nuclear Free Zone and Regulating Nuclear Weapons Work and City Contracts with and Investment in Nuclear Weapons Makers," which restricts the City from entering into professional service agreements with nuclear weapons makers unless an exemption applies. Under Ordinance No. 11478 C.M.S., it is the City's policy to minimize the expenditure of City funds on goods and services produced by nuclear weapons makers and Contractor is urged to comply with this policy in making purchases and subcontracts. Contractor agrees to comply with Ordinance No. 11478 C.M.S. in the provision of services under this Agreement and certifies that it is not a nuclear weapons maker.

14. <u>Schedule Q</u> - (<u>Insurance Requirements</u>) - Applies to all agreements and is a "stand alone Schedule", and evidence of insurance must be provided.

- i. This Agreement is subject to the attached hereto and incorporated herein as Schedule Q Insurance Requirements. Unless a written waiver is obtained from the City's Risk Manager, Contractors must provide the insurance as found at <u>https://www.oaklandca.gov/documents/contracts-and-compliance-forms-andschedules</u> Schedule Q.
- ii. A copy of the requirements is attached and incorporated herein by reference. Liability insurance shall be provided in accordance with the requirements specified.
- iii. When providing the insurance, include the Project Name and Project Number on the ACORD form in the section marked Description of Operations/Locations.
- iv. When providing the insurance, the "Certificate Holder" should be listed as: City of Oakland, Contracts and Compliance, 250 Frank H. Ogawa Plaza, Suite 3341, Oakland, CA 94612.

15. <u>Affidavit of Non-Disciplinary or Investigatory Action</u> - Applies to all agreements is part of the "Contract".

This Agreement is subject to the Schedule V - Affidavit of Non-Disciplinary or Investigatory Action. The form can be found on our website at

16. <u>Schedule W</u> – (Border Wall Prohibition)- Applies to all agreements and is a "stand alone Schedule", and must be submitted with the proposal.

This Agreement is subject to the Ordinance #13459 C.M.S. and its implementing regulations. The full details of the Border Wall Ordinance are located on the City website at https://www.oaklandca.gov/documents/contracts-and-compliance-forms-and-schedules

PLEASE NOTE: By submitting an RFP/Q, NIB, NTB, or Grants to the City of Oakland the prospective primary participant's authorized representative hereby obligates the proposer(s) to the stated conditions referenced in this document.